

KPL/2019-20/BSE
17.04.2019



Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001	National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051
Scrip Code : 530299	Scrip Code : KOTHARIPRO

Dear sir,

**Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING
REGULATIONS)**

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the period of Six months ended 31st March, 2019.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**

A handwritten signature in black ink, appearing to read 'Raj Kumar Gupta', is written over a circular stamp.

(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER

FCS - 3281

Address :

"Pan Parag House
24/19, The Mall,
Kanpur



Regd. Off. : " Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)
Phone : +91 512 2312171-72-73-74 • Fax: +91 512 2312058
E-mail: kothari@panparag.com • Website : www.kothariproducts.in
CIN No. - L 16008 UP 1983 PLC 006254

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR
ENDED MARCH 31, 2019.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to KOTHARI PRODUCTS LIMITED ("the Company"), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of Rs. 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakhs Thirty Eight Thousand Six Hundred and Fifty only) divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent of the Company, pertaining to transfer of equity shares of the company for the period from October 1, 2018 to March 31, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to Listing Agreement entered into by, the Company with BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on March 31, 2019:

- (A) Share Certificate(s) relating to the transfer of Shares received during the period from October 1, 2018 to March 31, 2019 as entered in the Memorandum of Transfers have been issued within Fifteen Days of the date of lodgment for transfer excepting those rejected on technical grounds.
- (B) During the period under review there was no instance of sub-division, consolidation, renewal, exchange of certificates and endorsement for payment of call/allotment money.
- (C) During the period under review, no request for Remat was received by the Company.
- (D) The Company has not issued any debentures hence the reporting of the same is not applicable.

Date: April 16, 2019
Place: Kanpur

**For ADESH TANDON AND ASSOCIATES
Company Secretaries**

**For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES**


PROPRIETOR
C.P. No. 1121

**Adesh Tandon
Proprietor
M. No. 2253
C. P. No. 1121**