

Ref: SEC/MFL/SE/2022/4347

May 28, 2022

National Stock Exchange of India Ltd.
Exchange Plaza
Plot No. C/1, G Block
Bandra - Kurla Complex
Bandra (E), Mumbai - 400 051
Symbol: MUTHOOTFIN

Department of Corporate Services
BSE Limited
P.J. Tower, Dalal Street
Mumbai - 400 001
Scrip Code: 533398

Dear Sir/Madam,

Sub: Intimation under Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015.

We are forwarding the report on the instance of violation of code of conduct adopted by Muthoot Finance Limited under Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015 by a Designated Person of the Company.

We request you to take the same on record.

For **Muthoot Finance Limited**



Rajesh A
Company Secretary
ICSI Membership No. FCS 7106

**Report by Muthoot Finance Limited for violations related to Code of Conduct under SEBI
(Prohibition of Insider Trading) Regulations, 2015.**

**Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations,
2015**

S.No.	Particulars	Details
1.	Name of the Listed Company/ Intermediary / Fiduciary	Muthoot Finance Limited
2.	Reporting in Capacity of: 1. Listed Company 2. Intermediary 3. Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Jayadeep Menon
	ii. PAN of the DP	AYZPK3857A
	iii. Designation of DP	Business Head
	iv. Functional role of DP	Business Head – Money transfer
	v. Whether DP is Promoter or belongs to Promoter group	No
	B. If Reporting is for immediate relative of DP	No
	i. Name of the immediate relative of DP	
	ii. PAN of the immediate relative of DP	
	C. Details of the transaction(s)	
i. Name of the Scrip	Muthoot Finance Limited	
ii. No. of shares traded and value (Rs.) (Date-wise)	Purchased 26 shares @ 1158.11 per share on 11/05/2022.	
D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter		
i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015.	NA	
ii. Date of intimation of trade(s) by Company to Stock Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015.	NA	
4.	Details of violations observed under Code of Conduct	Trading on shares of the Company during the window closure period.

5.	Action taken by Listed company/Intermediary/Fiduciary	Warning Letter issued as per the Insider Code of Conduct of the Company under Regulation 9 of SEBI (PIT) Regulations, 2015.
6.	Reasons recorded in writing for taking action stated above	Being the first-time offence, a warning letter was issued as per the Insider Code of Conduct under regulation 9 of the SEBI (PIT) Regulations, 2015.
7.	Details of the previous instances of violations if any since last financial year	First Time Violation.
8.	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IEPF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment</p> <p>In case of online: Name of the transferor Bank Name, Branch and Account number UTR/Transaction reference Number Transaction date Transaction Amount (in Rs.)</p> <p>In case of Demand Draft (DD) Bank Name and branch DD Number DD Date DD Amount (in Rs.)</p>	<p>No</p> <p>NA</p> <p>NA</p>
9.	Any other relevant information	No

Date : 27.05.2022
Place : Kochi
Name of Compliance Officer : Rajesh A

Signature of Compliance Officer :



Email ID : rajesh.warrier@muthootgroup.com