

SPARC/Sec/SE/2021-22/003

9<sup>th</sup> April 2021

To  
**The National Stock Exchange of India Ltd.**  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (East),  
Mumbai – 400 051.

**BSE Limited**  
P J Towers,  
Dalal street,  
Mumbai - 400001

Dear Sirs,

**Sub: Submission of Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

As per the requirements of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find herewith the Compliance Certificate issued by M/s. Veenit Pal & Associates, Practicing Company Secretaries, for the half year ended 31<sup>st</sup> March 2021.

This is for your information and records.

Yours faithfully,  
For **Sun Pharma Advanced Research Company Ltd.**

A handwritten signature in black ink, appearing to read "Debashis Dey", with a horizontal line underneath.

**Debashis Dey**  
Company Secretary

Encl: As above.

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2021**

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s SUN PHARMA ADVANCED RESEARCH COMPANY LIMITED** (the Company) maintained by **LINK INTIME INDIA PRIVATE LIMITED, MUMBAI**, Registrar and Share Transfer Agents pertaining to transfer of Equity Shares of the Company for the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided to me, I hereby certify that the Company has delivered during the half year ended on 31<sup>st</sup> March, 2021:

A) 1. Share certificates relating to the transmission of shares and deletion of shares request received by the Company during the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021, as entered in the memorandum of transfers have been issued within 30 days of the date of lodgement for transfer from respective date of lodgement for transfer of each deed except those rejected on technical grounds;

2. During the period 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021, the Company has not received any request for transfer of shares, sub-division/consolidation/Duplicate, renewal, endorsement of calls/allotment monies, as per records provided to me for verification during the course of audit.

B) Any other information, if applicable: **NIL**

**For Veenit Pal & Associates  
Company Secretaries**

  
**Veenit Pal  
(Proprietor)  
M. No. 25565  
COP No. 13149**



Place:- Mumbai  
Date:- 09.04.2021

UDIN:- **A025565C000033628**