

Date: 9th June, 2020

To,
The Manager,
The BSE Limited
1st Floor, Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March, 2020

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019, please find enclosed herewith the Annual Secretarial Compliance Report issued by M/s. Samdani Shah & Kabra, Practicing Company Secretaries, for the financial year ended 31st March, 2020.

We request you to kindly take the same on record.

Thanking you.

Yours faithfully,
For Alembic Limited


Drigesh Mittal
Company Secretary



ALEMBIC LIMITED

Secretarial Compliance Report

of **Alembic Limited** for the Financial Year ended March 31, 2020

[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019]

We, Samdani Shah & Kabra, Practising Company Secretaries have examined:

- a. all the documents and records made available to us and explanation provided by Alembic Limited having CIN : L26100GJ1907PLC000033 ("the Listed Entity"),
- b. the filings / submissions made by the Listed Entity to the stock exchanges,
- c. website of the Listed Entity,
- d. any other document / filing, as may be relevant, which has been relied upon to make this certification,

for the Financial Year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of:

- a. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued there under; and
- b. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars / guidelines issued there under, have been examined, include:-

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- c. Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- d. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- e. The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulation, 1993;

and circulars / guidelines issued there under;



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There were no action / events in pursuance of the following regulations requiring compliance thereof by the Listed Entity during the review period:-

- a. Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018;
- b. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- c. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- d. Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009;
- e. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

and based on the above examination, We hereby report that, during the Review Period:

- a. The Listed Entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations / Circulars / Guidelines / including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
Nil			

- b. The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder in so far as it appears from our examination of those records.
- c. The following are the details of actions taken against the Listed Entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder:



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Sr. No.	Action taken by	Details of violation	Details of action taken E.g. Fines, Warning Letter, Debarment, etc	Observations of the Practicing Company Secretary, if any.
Nil				

- d. The Listed Entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in previous reports	Observations made in the Secretarial Compliance Report for the year ended March 2019	Actions taken by the Listed Entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity.
Not Applicable				


S. Samdani
Partner
Samdani Shah & Kabra
Company Secretaries
FCS No.: 3677
CP No.: 2863



UDIN: F003677B000273243

Place: Vadodara
Date: May 25, 2020