



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

April 21, 2022

The Secretary
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub.: Compliance Certificate obtained by Novartis India Limited (“the Company”) from a Practising Company Secretary for the financial year ended on March 31, 2022, in terms of the provisions of Regulations 40(9) & (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)

Ref.: Scrip Code - 500672

Dear Sir/ Madam,

Please note that in terms of the SEBI Listing Regulations, the Company has obtained a Compliance Certificate from Saraf & Associates, Company Secretaries, for the financial year ended on March 31, 2022 and the same is being submitted herewith.

This is for your information and record.

Thanking you.

Yours sincerely,

For Novartis India Limited

Monaz
Noble

Digitally signed by Monaz Noble
DN: cn=Monaz Noble, o=Novartis India Limited, ou=Novartis India Limited, email=monaz.noble@novartis.com, c=IN
Date: 2022.04.21 11:19:10 +05'30'

Monaz Noble
Non-Executive Director

Encl.: as above

SARAF & ASSOCIATES

COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax_saraf@hotmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE YEAR ENDED 31st MARCH, 2022.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **NOVARTIS INDIA LIMITED** maintained by **LINK INTIME INDIA PVT. LTD**, the Registrar and Share Transfer Agent of the Company pertaining to transfer of equity shares of the company for the period beginning from **1st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on our examination as well as the information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that the Company has delivered during year ended on **31st March, 2022.**

- a) Share Certificates / Letter of confirmation wherever required in respect of request received during the period from 1st April, 2021 to 31st March, 2022 for exchange, sub division / consolidation and renewal within thirty days from respective date of lodgment.
- b) Has not received any request for transfer or endorsement of calls/ allotment monies.

Place : Mumbai

Date : 21.04.2022

UDIN : F001596D000176078

For SARAF & ASSOCIATES

KAMALAX
GANAPAYYA
SARAF

Digitally signed by KAMALAX
GANAPAYYA SARAF
Date: 2022.04.21 15:22:50
+05'30'

K.G. SARAF

Practising Company Secretary

FCS: 1596 CP: 642

FRN. S1988MH004801

PR. 1003/2020

Note :Relaxations pursuant to SEBI circular no SEBI/ HO/ MIRSD/ MIRSD_RTAMB/ P/CIR/ 2022/26 dated February 25, 2022 have been considered.