



## KOTHARI FERMENTATION AND BIOCHEM LTD.

CIN : L72411DL1990PLC042502

REGD. OFFICE: 16, COMMUNITY CENTRE, 1ST FLOOR, SAKET, NEW DELHI-110017

TEL. : 26517665, 26850004, 40590944 FAX : 91-011-41664840 E-mail : kfbt@airtelmail.in

Web : www.kothariyeast.in

**28<sup>th</sup> October, 2020**

**To,  
The Manager- Listing,  
The Bombay Stock Exchange Limited,  
Phiroz Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400001**

**Scrip Code No : 507474**

**Subject: Compliance Certificate for the half year ended on 30<sup>th</sup> September, 2020**

Dear Sir,

We are hereby submitting the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by the practicing Company Secretary for the half year ended on 30<sup>th</sup> September, 2020.

This is for your kind information and record.

Thanking You,

**Yours faithfully,  
For Kothari Fermentation & Biochem Limited**

**(Kavita Tanwar)  
Company Secretary**

**Encl: a/a**

**V.K SHARMA & CO.**  
Company Secretaries  
B-1902,Ace Aspire,Techzone 4  
Greater Noida (West)-201306  
Mobile:9811009592, 9818816592  
E-Mail: vks\_cosecy@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
(LISTING REGULATIONS)**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2020**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. **KOTHARI FERMENTATION & BIOCHEM LIMITED** maintained by **M/s ABHIPRA CAPITAL LIMITED** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> April, 2020 TO 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations and based on the information provided by the Company, we hereby certify that the Company has delivered during the half year ended 30<sup>th</sup> September, 2020;

- A) NIL Share certificates during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 as the company has not received any requests for transfer during the period.
- B) There were no cases of requests for renewal, duplicate, exchange, consolidation or sub-division during the period of review.

Place: Greater Noida  
Date : 28 October, 2020

For: V. K. Sharma & Co.  
Company Secretaries

VIJAY KUMAR SHARMA  
SHARMA  
(V. K. Sharma)  
C. P. No. 2019  
FCS: 3440  
UDIN: F003440B001084190

Digitally signed by VIJAY KUMAR SHARMA  
Date: 2020.10.28 15:00:17  
+05'30'