

SMIFS CAPITAL MARKETS LIMITED

September 17, 2022

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai 400 001

Dear Sir.

Re: AGM of the Company held on September 17, 2022 at 11.00 a.m.

Sub: Details of the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is for your kind information that the Annual General Meeting of the Company was held on September 17, 2022 at Saturday, at the Registered Office of the Company at 'Vaibhav', 4, Lee Road, Fourth Floor, Kolkata - 700 020 at 11.00 a.m. We are forwarding you the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In view of the continuing COVID-19 pandemic, the Ministry of Corporate Affairs ("MCA") has vide its circular dated January 13, 2021 and May 5, 2022, read with Circular dated May 5, 2020 read with circulars dated April 8, 2020, April 13, 2020 and January 13, 2021 (collectively referred to as "MCA Circulars") along with SEBI Circular dated January 15, 2021 permitted the holding of the Annual General Meeting ("AGM") through Video Conferencing ("VC") / Other Audio- Visual Means ("OAVM"), without the physical presence of the Members at a common venue. In compliance with the provisions of the Companies Act, 2013 ("Act"), SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("the Listing Regulations") and MCA Circulars, the AGM of the Company is being held through VC / OAVM.

Please Acknowledge,

Yours Faithfully
For SMIFS CAPITAL MARKETS LIMITED

AYANTI SEN

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(Ayanti Sen) Company Secretary cum Compliance Officer



Format for Voting Results

Pursuant to Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the requisite information relating to the Annual General Meeting (AGM) of the Company, held on Saturday, 17th September, 2022 at 11.00 a.m. at the Registered Office of the Company at Vaibhav 4 Lee Road, 4th Floor, Kolkata 700020 through Video Conferencing ("VC") / Other Audio-Visual Means ("OAVM") is furnished below:

Date of the AGM	17 TH SEPTEMBER, 2022
Total Number of Shareholders on record date	1604
No. of Shareholders present in the meeting either in person or through proxy Promoters & Promoter Group Public	0 0
No. of Shareholders present in the meeting through Video Conferencing • Promoters & Promoter Group • Public	5 50

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For SMIFS CAPITAL MARKETS LIMITED

lesolution No. 1 (ORDINARY RESOLUTION) To receive, consider and adopt the Audited Balance Sheet of the Company as at March 31, 2022, the Statement of Profit & Loss and the Cash Flow Statements for the year ended on that date and the Reports of the Board of Directors' and Auditors' thereon

Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	Votes in	No of votes against	% of votes in favour on votes polled	% of votes against or votes polled
	-	1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Group	Postal	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Ballot	2534051	2534051	100	2534051	Nil	100	Nil
	Total E-Voting	1339604	901548	67.30	901548	Nil	100	Nil
	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Public Institution	Postal	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Ballot	1339604	901548	67.30	901548	Nil	100	Nil
-	Total E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
Public Nor Institution	on Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Postal	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Ballot	1711345	27844	1.63	27830	14	99.95	0.05

tails of Invalid Votes	
tegory	No of Votes
omoter & Promoter	Nil
oup	1/5
blic Institution	Nil
blic Non Institution	Nil

Resolution carried by requisite majority

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FOR SMIFS CAPITAL MARKETS LIMITED

COMPANY SECRETARY
CUM COMPLIANCE OFFICER

Resolution No. 2: Ordinary Resolution.

To appoint director in place of Mr. Ajay Kumar Kayan (DIN No. 00239123), who retires by rotation and being eligible offers himself for re-appointment.

Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	Votes in favour	No of votes against	% of votes in favour on votes polled 6=(4/2)*100	% of votes against or votes polled 7=(5/2)*100
		1	2	3=(2/1*100)	4	Nil	100	Nil
	E-Voting	2534051	2534051	100	2534051			
	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Promoter Group	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total	2534051	2534051	100	2534051	Nil	100	Nil
	E-Voting	1339604	901548	67.30	901548	Nil	100	Nil
	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Public Institution	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		1339604	901548	67.30	901548	Nil	100	Nil
	Total E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
Public No	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Institution	Postal Ballot	Nil	Nil	Nil	Nil .	Nil	Nil	Nil
	Total	1711345	27844	1.63	27830	14	99.95	0.05

etails of Invalid Votes	
itegory	No of Votes
omoter & Promoter Group	Nil
iblic Institution	Nil
iblic Non Institution	Nil

Resolution carried by requisite majority

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CUM CUMPLUMICE OFFICER

Resolution No. 3: Ordinary Resolution. Reappointment Of M/s S.K. Agarwal & Co. Chartered Accountants LLP as the Statutory Auditor of the company for second term of 5 consecutive years until the conclusion of the Forty Fourth Annual General Meeting of the Company % of votes of % of votes in votes No of No Category Mode No of No of % of against on favour on Votes in votes polled Voting Shares votes votes polled votes polled against outstanding favour held polled shares 7=(5/2)*1005 6=(4/2)*100 3=(2/1*100) 4 2534051 Nil 100 Nil 2534051 2534051 100 E-Voting Nil Nil Nil Nil Nil Poll Nil Nil 1 Promoter Nil Nil Nil Nil Nil Nil Postal Nil Group Ballot Nil 2534051 Nil 100 2534051 2534051 100 Total Nil 100 901548 Nit 1339604 901548 67.30 E-Voting Nil Nil Nil Nil Nil Poll Nil Nil 2 Public Nil Nil Nil Nil Nil Nil Postal Nil Institution Ballot 100 Nil 901548 Nil 1339604 901548 67.30 Total 99.95 0.05 27830 14 27844 1.63 1711345 E-Voting Public Nor Nil 3 Nil Nil Nil Nil Poll Nil Nil Institution Nil Nil Nil Nil Nil Postal Nil Nil Ballot 99.95 0.05 14 1.63 27830 1711345 27844 Total

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority

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For SMIFS CAPITAL MARKETS LIMITED

Resolution No. 4: Special Resolution.

Continuation Of Directorship of Mr. Santosh Kumar Mukherjee as an Independent, Non- Executive Director of the Company

	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
		1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Promoter Group	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total	2534051	2534051	100	2534051	Nil	100	Nil
	E-Voting	1339604	901548	67.30	901548	Nil	100	Nil
Public	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Institution	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total	1339604	901548	67.30	901548	Nil	100	Nil
	E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
Public Non	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Institution	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total	1711345	27844	1.63	27830	14	99.95	0.05

tails of Invalid Votes	
tegory	No of Votes
omoter & Promoter Group	Nil
olic Institution	Nil
olic Non Institution	Nil

Resolution carried by requisite majority

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For SMIFS CAPITAL MARKETS LIMITED

Resolution No. 5: Special Resolution.

Re-Appointment of Mrs. Pushpa Mishra as an Independent, Non- Executive Director of the Company

1	Category	Mode of	No of	No of	% of votes	No of	No of	% of votes in	% of votes
	0 ,	Voting	Shares	votes	polled on	Votes in	votes	favour on	against on
			held	polled	outstanding	favour	against	votes polled	votes polled
					shares				
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
		E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
,	Duamatan	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
'	Group	Postal	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	•	Ballot				2524051	X121	100	Nil
		Total	2534051	2534051	100	2534051	Nil		Nil
		E-Voting	1339604	901548	67.30	901548	Nil	100	INII
2	Public	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	nstitution	Postal	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Ballot				001540	NI'I	100	Nil
		Total	1339604	901548	67.30	901548	Nil		
		E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
-	Public Non	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	nstitution	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	1711345	27844	1.63	27830	14	99.95	0.05
		Total	1711345	27844	1.63	2/830	14	77.73	

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority

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For SMIFS CAPITAL MARKETS LIMITED

udhansu Sekhar Panigrahi

Practicing Company Secretary Off: 75, Metcalf Street, 2nd Floor Room No 203, Kolkata - 700 013

Mob: 9674029751 panigrahis7@gmail.com, sudhansuspanigrahi@vahoo.co.in

Scrutinizer's Report

Pursuant to Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014

To
The Chairman
SMIFS CAPITAL MARKETS Ltd
Vaibhay, 4F, 4 Lee Road
Kolkata – 700 020, West Bengal

Dear Sirs/Madams

- (1) I, Sudhansu Sekhar Panigrahi, Practicing Company Secretary (ACS 23187/CP 19649) was appointed as Scrutinizar by the Company in connection with the 39° Annual General Manting of the members of SMIPS Capital Markets Ltd (herein after called "Company") held on 17° September, 2022 through Video Conferencing ("VC") / Other Audio-Visual Menna ("OVAM") at its registered office at Vaibhav, 4F, 4 Lee Road, Kolkata 700 020 at 11.00 A.M. for the purpose of scrutinizing the e-voting and voting through physical ballots process in a fair and transparent manner and ascertaining the requisite majority for the said voting as per the provisions of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administrations) Rule, 2014 as amended on the resolutions referred to in this report.
- (2) The management of the Company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and Rules relating to E-Voting and voting through physical ballot process on the resolutions contained in the Notice of Annual General Meeting dated 11* May, 2022. My responsibility as a scrutinizer for the voting process through electronic means and physical ballots is restricted to make a Scrutinizer's Report of the votes cast "in favour" or "against" the resolutions, hased on the report generated from the e-voting system of Central Depository Services (India) Ltd (CDSL) and of voting through physical ballots as provided by M/s Maheshwari Datamatics Private Limited, Kolkata the agencies engaged by the Company to provide e-voting and physical ballot facilities.
- (3) I submit the report as under:
 - The remote e-voting period remained open from 10 a.m. IST on Tuesday the 13th day of September, 2022 up to 5 p.m. IST on Friday the 16th day of September, 2022.
 - ii. The share holders holding shares as on the "cut off" date i.e. 10^a day of September, 2022 were entitled to vote on the proposed 5 (Five) resolutions as mentioned in the Notice dated 11^a May, 2022 of the Annual General Meeting of the Company.
 - iii. The Company has also allowed e-voting facility at the venue of the Annual General Meeting to enable the share halders to cast the vote in case the same has not been casted by them through remote e-voting.

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For SMIFS CAPITAL MARKETS LIMITED

(AYANTI SEN) COMPANY SECRETARY



- iv. The e-votes were unblocked on Saturday, the 17th September, 2022 around 11.54 am after the completion of Annual General Meeting in the presence of two witnesses namely Mr Trilokesh Parhi of 75 Metcalf Street, 2nd Floor, Kolkata 700 013 and Mrs Rekha Shaw of S 55, Kamdahari Purba Para, Garia, Kolkata 700 084 who are not in the employment of the Company.
- v. There were no Ballots received by the company.
- vi. The Combined result of remote e-voting (EVSN:220801008) and votes casted through physical ballot papers distributed at the AGM venue are as under

Resolution No. 1 (ORDINARY RESOLUTION) To receive, consider and adopt the Audited Balance Sheet of the Company as at March 31, 2022, the Statement of Profit & Loss and the Cash Flow Statements for the year ended on that date and the Reports of the Board of Directors' and Auditors' thereon Category Mode of No of No % of votes No of No % of votes in % of votes Voting Shares votes polled Votes in on votes favour against Ωn heid polled outstanding favour against votes polled votes polled shares 3=(2/1*100) 5 6=(4/2)*100 7=(5/2)*100 E-Voting 2534051 2534051 100 2534051 Nil 100 Nil Poll NH Nil Nil Nil Nil Nil Nil 1 Promoter Postal Nil Nil Nil Nil Nil Nil Nil Group Ballot Total 2534051 2534051 100 2534051 Nil 100 Nil E-Voting 1339604 901548 67.30 901548 Nil 100 Nil Poll Nil Nil Nit Nil 2 Nil Nil Public Nil Postal Nil Nil Nil Nil Nil Institution Nil Nil Ballot Total 1339604 901548 67.30 901548 Nil 100 Nil E-Voting 1711345 27844 1.63 27830 14 99.95 0.05 3 Public Poli Mil Nil Ni Nil N Nil Nii Institution Postal Nil Nil Nil Nil Nil Nil Nil Ballot

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter	Nil
Group	
Public Institution	Nil
Public Non Institution	Nil

Total

1711345

27844

1.63

27830

14

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For SMIFS CAPITAL MARKETS LIMITED Ayouti Leu.

99.95

0.05

(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

Resolution carried by requisite majority



Resolution No. 2: Ordinary Resolution.

To appoint a director in place of Mr Ajay Kumar Kayan (DIN: 00239123), who retires by rotation and being eligible offers himself for re-appointment.

		Mode of Voting	No of Shares held	No of votes polied	% of votes polled on custanding shares		No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
		E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
	_	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
1	Promoter Group	Postal Ballot	Nil	Nil	Nil	Nil	Nii	Nil	Nil
		Total	2534051	2534051	100	2534051	Nit	100	NīI
		E-Voting	1339604	901548	67.30	901548	Nil	100	Nil
2	Public	Poll	Nil	Nil	Nil	Nit	Nil	Nit	Nil
•	Institution	Postal Bailot	Nil	Nil	Nit	Nil	Nil	Nil	Nil
		Total	1339604	901548	67.30	901548	Nil	100	Nil
		E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
3	Public Nor	Poli	Nii	Nil	Nit	Nil	Nil	Nit	Nil
	institution	Postal Ballot	Nil	Nil	Nil	Nil	Níl	Nil	Nil
	1	Total	1711345	27844	1.63	27830	14	99.95	0.05

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority......

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For SMIFS CAPITAL MARKETS LIMITED

Resolution No. 3: Ordinary Resolution.

To reappoint the Statutory Auditor M/s S K Agarwal & Co. Chartered Accountants LLP, Kelkate for another period (Second Term) of 5 years form conclusion of this AGM to the conclusion of 44th AGM.

	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares		No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			ì	2	3=(2/1*100)	4	5	6-(4/2)*100	7=(5/2)*100
		E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
1	D	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
•	Promoter Group	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Ni	100	Nil
		E-Voting	1339604	901548	67.30	901548	Nil	100	Nil
2	Public	Poli	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Institution	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nii
		Total	1339604	901548	67.30	901548	Nil	100	Nil
	h	E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
3	Public Non Institution	Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Laschdon	Postal Ballot	Nil	Nil	Nil	Nil			Nil
		Total	1711345	27844	1.63	27830	14	99.95	0.05

Details of Invalid Votes					
Category	No of Votes				
Promoter & Promoter Group	Nil				
Public Institution	Nil				
Public Non Institution	Nil				

Resolution carried by requisite majority.....

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For SMIFS CAPITAL MARKETS LIMITED

COMPANY SECRETARY
CUM COMPLIANCE OFFICER



Resolution No. 4: Special Resolution.

Continuation of directorship of Mr Santosh Kumar Mukherjee as an independent Non-Executive Director of the Company who attained the age of 75 years.

	1	Mode of Voting	No o	votes	% of votes police on cutstanding shares		No of votes	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6-(4/2)*100	7=(5/2)*100
		E-Voting	2534051	2534051	100	2534051	Nit	100	Nit
1		Poli	Nil	Nil	Nil	Nil	Nii	Nil	
•	Promoter Group	Postal Ballot	Nil	Nii	Ni	Nil	Ne	Nel	Nil Nil
		Total	2534051	2534051	100	2534051	Nii	100	***
	Public Institution	E-Voting	1339604	901548	67.30	901548	Nil	100	Nil Nil
2		Poli	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	NI	Nii	Nil	Nil	NII	Nil	Nil
		Total	1339604	901548	67.30	901548	Nil	100	Nil
		E-Voting	1711345	27844	1.63	27830	14	99.95	0.05
3	Public Nor Institution	Poli	Nil	Nil	Nil	Nil	Nil	Nil	
-	mstitution	Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil Nil
		Total	1711345	27844	1.63	27830	14	99.95	0.05

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nii
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

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For SMIFS CAPITAL MARKETS LIMITED



Resolution No. 5: Special Resolution.

Reappointment of Mrs. Pushpa Mishra as an independent non-executive director of the Company for another term of 5 years from 25° September, 2022 to 20° September 2027.

	0000	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares		No of votes against	% of votes in favour on votes polled	% of votes against on votes police
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
_		E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
1	Presenter Group	Postal Ballot	Nil	Nii	Nil	NB	Ni	Nil	Nil
	1	Total	2534051	2534051	100	2534051	Nil	100	Nil
_	+	E-Voting	1339604	901548	67.30	901548	Na	100	Nii
	1	D-II	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	Public Institution	Poll Postal	Nil	NE	Nil	Nil	Nil	Nil	Nil
		Bailot	1339604	901548	67.30	901548	Nil	100	Nil
_	+	Total E-Voting	-	27844	1.63	27830	14	99.95	0.05
1 1	Public No	on Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Institution	Postal	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Baffot	1711345	27844	1.63	27830	14	99.95	9.05

Details of Invalid Votes					
Category	No of Votes				
Promoter & Promoter Group	Nil				
Public Institution	Nil				
Public Non Institution	Nil				

Resolution carried by requisite majority.....

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For SMIFS CAPITAL MARKSTS LIMITED



(vii) The ballot forms, e-voting register and other related papers / registers and records shall remain in my safe custody until the Chairman considers, approve and signs the minutes of physical ballot and e-voting.

- (viii) The proposed resolutions have been therefore approved by the share holders of the Company with requisite majority.
- (ix) You may declare the result of e-voting and physical ballot accordingly.

Thanking You

C.P. No 19649

Yours Truly



We the undersigned witness that the votes in respect of e-voting of share holders of SMIFS Capital Markets Ltd were unblocked from e-voting website of Central Depository Services (India) Ltd (CDSL) in our presence at 11.54 a.m. on 17th September, 2022

Witnesses Trilokesh Parhi 75, Metcalf Street, 2nd Floor Kolkata - 700 013

Signature. T. Parhi

Rekha Shaw S 55, Madahari Purba Para Garia, Kolkata - 700 084

Signature. Releta Show

Counter Signed by For SMIFS Capital Markets Ltd

> UTSAV PAREKH

Chairman (Utsav Parekh) DIN: 00027642

Place: Kolkata Date: 17.09.2022

UDIN: A023187D000990881