



SHAMROCK INDUSTRIAL COMPANY LTD.

CIN: L24239MH1991PLC062298

Regd. Off: 83-E, Hansraj Pragji Building, Off Dr. E. Moses Road, Worli, Mumbai - 400 018. India.
Email Id: shamrockfin@gmail.com | Tel.: +91-22 4077 8884 | Fax.: +91-22 2498 3300

Date: 24/10/2020

To,
The Bombay Stock Exchange Ltd
Corporate Relationship Dept,
1stFloor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai - 400 001

BSE Scrip Code: 531240

Sub: Submission of Compliance Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 30.09.2020

Sir/Madam

We are enclosing herewith Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirement) Regulations, 2015 for the half year ended **30.09.2020** for your information and record.

Kindly acknowledge the Receipt.

Thanking You
Yours Faithfully,
For Shamrock Industrial Company Ltd
For SHAMROCK INDUSTRIAL CO. LTD

DIRECTOR

Kamlesh Khokhani
(Managing Director)

Place: Mumbai

Enc: a/a

Pankaj Trivedi

B.Com, CS, LLB

Mob: 9224174118

Email: pankajtrivedicsllb@gmail.com

PANKAJ TRIVEDI & CO.

Practicing Company Secretaries

D/203, Crystal Plaza, New Link Road,

Opp. Infiniti Mall, Andheri (West),

Mumbai – 400053

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Shamrock Industrial Company Limited** maintained by Registrar and transfer Agent **M/s. Bigshare Services Pvt. Ltd**, at Bharat Tin Works Building, 1st Floor, Opp. Vasant Oasis, Next to Keys Hotel, Makwana Road, Andheri (East), Mumbai - 400059, Maharashtra, pertaining to transfer of Equity Shares of the Company for the period from **01.04.2020 to 30.09.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company. I hereby certify that the company has delivered, during the half year ended on **September 30th, 2020**.

- (a) The Company was not required to deliver Share Certificate relating to the Share Transfer. Since no transfer request was received and pending during the period from 01.04.2020 to 30.09.2020.
- (b) The Company was not required to deliver Share Certificates relating to the Share Transmission. Since there was no transmission request received and pending during the period from 01.04.2020 to 30.09.2020.
- (c) The Company has not received any request for Duplicate Share Certificates, Split, Consolidate of Certificates, renewal, exchange or endorsement of calls/allotment monies during the period from 01.04.2020 to 30.09.2020.

**For Pankaj Trivedi & Co.
(Company Secretary in Practice)**

UDIN: A030512B001021251

PANKAJ

NATVARLA

L TRIVEDI

Pankaj Trivedi

(Proprietor)

COP: 15301

ACS: 30512

Place: Mumbai

Date: 24th October, 2020

Digitally signed by PANKAJ
NATVARLA TRIVEDI
DN: c=IN, o=Personal, cn=PANKAJ
NATVARLA TRIVEDI,
serialNumber=cbea2e558ab07614bb
6ade2aac7358b55e115d7439272b2dfa
267ea909f6c, postalCode=400007,
2.5.4.20=ed8ff6407f6467430a003b86f
3a0910cd9f9c6fe1c7d038374522d62
669b811, st=Maharashtra
Date: 2020.10.24 18:46:31 +05'30'