



STERLING

GUARANTY & FINANCE LIMITED

CIN No. : L65990MH1983PLC031384

April 18, 2023

To,
The Corporate Relationship Manager
Department of Corporate Services
BSE Ltd., P J Towers, Dalal Street,
Mumbai - 400001

Ref : Scrip Code - 508963

Subject : Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015

Dear Sir,

Please find enclosed herewith the certificate from a practicing Company Secretary for half year ended March 31, 2023 pursuant to Regulation 40(9) of the SEBI Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the same on record and acknowledge the receipt.

Thanking you,

Yours faithfully,
For Sterling Guaranty & Finance Limited

DHARMEN DHIREN MEHTA
Director

REGD. OFFICE: Office No 3rd & 4th, 3rd Floor, The Centrium, Village Kurla Kiroi, Kurla (W), Mumbai
400070

TEL: 91-22-22840019. Email: sterling.guaranty@gmail.com

Website: www.sterling-guaranty.com



CERTIFICATE UNDER REGULATION 40(9) OF THE LISTING OBLIGATION AND DISCLOSURE REQUIREMENT FOR THE HALF YEAR ENDED 31ST MARCH, 2023

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to Sterling Guaranty & Finance Limited maintained by Purva Sharegistry (India) Pvt. Ltd. pertaining to transfer of equity shares of the Company for the period from 1st April, 2022 to 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Obligation and Disclosure Regulation (LODR), 2015 entered into by STERLING GUARANTY & FINANCE LIMITED with Bombay Stock Exchange Limited (BSE) and based on the information provided by the Company, we hereby certify that the company has delivered during the half year ended 31/03/2023

1. All Share Certificate(s) relating to the transfer of shares received during the period from 1st April, 2022 to 31st March, 2023 as entered in the Memorandum of Transfers have been issued within 15 days from respective date of lodgement of each deed excepting those rejected on technical grounds.
2. Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within 30 days of lodgement.

Place: Mumbai

Date : 18.04.2023

UDIN: A022135E000127416

Form SARK & Associates LLP

Company Secretary

Sumit Khanna
(Designated Partner 02999296)
ACS No. 22135 CP No. 9304