



**GAUTAM EXIM LIMITED**

**Admin Office :**

C7/57-59, GAUTAM EXIM HOUSE,  
Next to 21st Century Hospital, N.H. No. 8,  
G.I.D.C., Vapi - 396 195. Gujarat, INDIA.  
Tel.: 91-260-2432998, 2430106,  
Fax : 91-260-2431988

**Mumbai Branch:**

K.K. Chambers, Ground Floor, Room No. 2, P.T. Road,  
Behind ICICI Bank, Fort, Mumbai-400 001. INDIA  
Tel.: 91-22-2203 0080, 09322235961.  
Email: mani@gautamexim.com  
Web.: www.gautamexim.com

October 12, 2021

To,  
Bombay Stock Exchange Ltd.  
Phoroze Jeejeebhoy Tower  
Dalal Street,  
Fort, Mumbai – 400001.

Dear Sir/Madam,

**Ref.:- Scrip Code - 540613**

**Sub.: Certificate from Practicing Company Secretary under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation-2015.**

Dear Sir/Madam,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation-2015, we hereby submit certificate from Practicing Company Secretary for the half year ended on September 30, 2021.

Kindly take the same on your record and oblige.

**Thanking You,**

**Yours Faithfully,**

**For GAUTAM EXIM LIMITED**

  


**SILKY BHIKHALAL SHAH**  
**Company Secretary**

Encl.: As above



**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED (SEPTEMBER 30, 2021)**

I have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to M/s. **GAUTAM EXIM LIMITED**, maintained by M/s. Bigshare Services Private Limited (RTA) at Mumbai, pertaining to transfer of Equity Shares of the Company for the period from **01<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021** ("review Period") for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI (Listing and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with the Stock Exchange and based on the information provided by the Company and the Registrar and Share Transfer Agent, hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2021**:

A) 1.a Share Certificate(s) relating to the Share transfer form(s) received during the period **01<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021** as entered in the Memorandum of Transfers have been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds. **No such entry found as all shares are in DEMAT form.**

1.b. There were no requests relating to transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

Any other information, if applicable : - Nil

**For Vishal Dewang & Associates**  
**Company Secretaries**

*Vishal Dewang*

**Vishal Dewang**  
**Proprietor**  
**CP No. 9596**  
**ICSI UDIN: A026683C001158187**

Surat  
12/10/2021