

IL&FS Investment Managers Limited

The IL&FS Financial Centre
Plot C-22, G-Block
Bandra Kurla Complex
Bandra East
Mumbai 400 051
India

T +91 22 2653 3333
F +91 22 2653 3056
W www.iimlindia.com

Corporate Identification No - L65999MH1986PLC147981

July 4, 2022

The Manager
The Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

The Manager
The Listing Department
National Stock Exchange Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E),
Mumbai 400 051

NSE Symbol: IVC
BSE Scrip Code: 511208
ISIN : INE050B01023

Re : Loss of Share Certificate(s)

Dear Sirs :

Pursuant to Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to submit the information pertaining to Stop Transfer (reason - loss of share certificates) which has been noted in our Registrars and Share Transfer Agent (RTA) database as per an email intimation dated July 1, 2022 received from RTA. Copy of an email and copy of letter from investor is enclosed herewith for reference

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,

Sanjay Mitra
Company Secretary

Encl: as above



Stop Transfer Intimation under Regulation 39(3) of SEBI LODR (2015)

instamisreports

to:

Mona.Abhani, minal.Dandekar

01-07-2022 04:00

Cc:

saili.lad, sharmila.amin

Hide Details

From: instamisreports@linkintime.co.in

To: Mona.Abhani@ilfsindia.com, minal.Dandekar@ilfsindia.com

Cc: saili.lad@linkintime.co.in, sharmila.amin@linkintime.co.in

1 Attachment



ID16396.pdf

Dear Team,

As per the Regulation 39(3) of SEBI (Listing obligations and disclosure requirement), Regulation 2015, we are sending herewith information pertaining to Stop Transfer which we have already noted in our database. Please find attached letter received from the Investor.

Client Name : IL&FS Investment Managers Limited

Stop Transfer Date	Folio No	Name	Certificate No.	Distinctive No.	No. of Shares	Reason
30 Jun 2022	0038638	URMILA DESAI	24983	210120622 - 210121463	842	Lost By Holder
30 Jun 2022	0038638	URMILA DESAI	3307	2884431 - 2886115	1685	Lost By Holder

Regards

Link Intime India Pvt Ltd.

This is an auto generated report.

LETTER RECEIVED
VIA EMAIL

Inward

From: rnt.helpdesk@linkintime.co.in
Sent: Tuesday, June 28, 2022 7:38 PM
To: inward@linkintime.co.in
Subject: KYC STATUS & Ref No : RE1303520 & Ref No : RE1334672
Attachments: RE1388267_IL&FS_Feb'22 request letter.pdf; RE1388267_IL&FS_Jun'22 request letter.pdf; RE1388267_Mahendra Desai_Death Certificate.jpg; RE1388267_Urmila Desai Death Certificate.jpg

Unit: IL & Investment Managers Limited

Folio No: 0038638

Sub : Procedure for Duplicate cum Transmission of shares

Regards,
Team Link Intime Pvt. Ltd.



From : amudesai@gmail.com
Sent : 6/19/2022 11:14:42 PM
To : rnt.helpdesk@linkintime.co.in
CC :
Subject : Re: KYC STATUS & Ref No : RE1303520 & Ref No : RE1334672

Dear Team

Please find attached the request letter as required by you.

Please note the following

1. The original share certificate is not found and hence request you to advise the process to acquire duplicate share certificate before or after transmission.
2. In the original set from my end I have also sent across documents, signed by Ms. Urmila Desai for draft revalidation, can you please process that and transfer the funds into her account as per the cancelled cheque that is attached.
3. Attaching the request letter as required in this mail as well as the request letter sent physically to your team in February 2022.
4. Death certificate of both shareholder(s)
5. The Probate (court order) for Ms. Urmila Desai is under process, she has 2 legal heirs, myself and Ms. Aarti Desai. Requisite NOCs will be provided along with the submission for transmission.

Do call me in case of any queries / concerns.

Regards
Amrish Desai
+91 - 9820245702 / 9819599408.

On Thu, 19 May 2022 at 11:29, <rnt.helpdesk@linkintime.co.in> wrote:

Dear Sir/Madam,

Greetings of the day to you.

Unit: IL & Investment Managers Limited

Folio No: 0038638

This is with reference to your below mentioned email, regarding transmission of shares.

Please provide us the scan copy of request letter duly signed by the applicant/legal heir mentioning the registered address in request letter , along with a copy of death certificate of deceased share holder to enable us to provide the procedure for transmission. Also provide us with the scan copy of share certificates (both sides) which is in your possession.

Further note that if the total market value of subject shares exceeds rupees two lakhs, then you are requested to apply for Probated WILL or Succession Certificate or Letters of Administration .

Upon receipt of the request letter necessary procedure will be provided to you.

We look forward to being of service to you again.

Regards,
TEAM LINK INTIME

From : amudesai@gmail.com
Sent : 4/22/2022 12:25:06 PM
To : rnt.helpdesk@linkintime.co.in
CC :
Subject : Re: KYC STATUS & Ref No : RE1303520

Dear Team

Please find attached the documents that were sent by **YOUR Team**. Request you to kindly check and verify the same.

Kindly let me know the way forward.

Hoping for a well read and verified response this time from your end.

Regards
Amrish Desai
+91 - 9820245702 / 9819599408.

On Thu, 14 Apr 2022 at 17:54, <rnt.helpdesk@linkintime.co.in> wrote:

Dear Sir/Madam,

Greetings of the day to you!

This is with reference to your below mentioned email, you are requested to provide us the name of the company and folio details to enable us to verify the details and assist you further .

Regards,
TEAM LINK INTIME

Office Address:

From : amudesai@gmail.com
Sent :
To : mt.helpdesk@linkintime.co.in
Subject : Re: KYC STATUS

Dear Team

Thank you for your email.

I m not sure how thoroughly the team has completed the document verification. I have clearly mentioned in the letter that the shareholder(s) are deceased, still the reply is to complete the KYC Process.

If there is any procedure that is available at your end for me to complete the shareholder signature post death please advise ?

If not then please kindly advise the *Transmission process, Dividend Warrant revalidation process* as mentioned in my letter to you.

I do not intend to be rude, but this is not the first time that your firm has given me similar answers to queries sought. In any case you want to speak to me for any clarification please call on the number(s) mentioned below.

Regards
Amrish Desai
+91 - 9820245702 / 9819599408.

On Mon, 21 Mar 2022 at 15:56, <noreply@linkintime.co.in> wrote:

Dear Holder,

We refer to the documents submitted by you for updating your KYC details as per SEBI circular no. SEBI/HO/MIRSD/MIRSD_ RTAMB/P/CIR/2021/655 dated November 03, 2021.

The documents have been checked and the current status of each KYC detail is indicated in the attached letter as Accepted or Rejected.

Where all KYC details have been indicated as Accepted, the Folio will be treated as KYC compliant subject to verification of PAN with Income Tax Authorities. However from March 31, 2022 or any other date as may be specified by the Central Board of Direct Taxes (CBDT), PAN will be/is considered to be valid only if linked with Aadhar number and accordingly Folios with invalid PANs will be considered KYC non compliant. Henceforth, requests for only KYC compliant Folios will be considered for processing.

Where some or all KYC details have been indicated as Rejected , the Folio remains non compliant . Please refer to the attached scanned document file. The file is password protected and you may use PAN of the first holder or Folio No. in upper case as password to open the file.

The deficiencies/requirements have been circled in the attached file. Please co-relate these deficiencies/requirements, as well as the remarks wherever mentioned , with the attached KYC Status Letter' . Kindly submit fresh documents, as applicable, after complying with the requirements.

You may visit our website www.linkintime.co.in wherein the relevant information/ downloadable forms are available.

For Linkintime India Private Limited

Investor Relations Team

Encl : 1. KYC Status Letter. 2. Scanned Copies of Documents submitted by you.



महाराष्ट्र शासन
GOVERNMENT OF MAHARASHTRA
असोज विभाग
DEPARTMENT OF HEALTH



MUNICIPAL CORPORATION OF GREATER MUMBAI G NORTH WARD

मृत्यु प्रमाणपत्र
DEATH CERTIFICATE

ISSUED UNDER SECTION 12(1) OF THE REGISTRATION OF BIRTHS & DEATHS ACT, 1969 AND RULE 80(1) OF THE MAHARASHTRA REGISTRATION OF BIRTHS & DEATHS RULES 2000.

ISSUED UNDER SECTION 12(1) OF THE REGISTRATION OF BIRTHS & DEATHS ACT, 1969 AND RULE 80(1) OF THE MAHARASHTRA REGISTRATION OF BIRTHS & DEATHS RULES 2000.

THIS IS TO CERTIFY THAT THE FOLLOWING INFORMATION HAS BEEN TAKEN FROM THE ORIGINAL RECORD OF DEATH WHICH IS THE REGISTRATION MUNICIPAL CORPORATION OF GREATER MUMBAI G NORTH WARD OF TAHSIL/BLOCK GREATER MUMBAI OF DISTRICT MUMBAI OF STATE/UNION TERRITORY MAHARASHTRA, INDIA.

मृत्यु के नाम / NAME OF DECEASED : URMILA MAHAPATRA DESAI

लिंग / SEX : महिला / FEMALE

आधार संख्या / AADHAAR NO. : XXXXXXXX1234

मृत्यु तिथि / DATE OF DEATH : 29-09-2021
TWENTY-NINTH-SEPTEMBER-TWO THOUSAND TWENTY ONE

मृत्यु स्थान / PLACE OF DEATH : HINDOU HOSPITAL

पति / पत्नी के नाम / NAME OF HUSBAND / WIFE : MAHENDRA MANDSOHEJI DESAI

मृत्यु आयु / AGE OF DECEASED : 72 YEARS

हUSBAND/WIFE AADHAAR NO. :

मृत्यु के नाम / NAME OF MOTHER : SHARADANI JETHALAL SONI

मृत्यु के नाम / NAME OF FATHER : JETHALAL BHIMJI SONI

मृत्यु के नाम / MOTHER'S AADHAAR NO. :

मृत्यु के नाम / FATHER'S AADHAAR NO. :

मृत्यु के नाम / ADDRESS OF THE DECEASED AT THE TIME OF DEATH :

601, MARTINS NEST, CENTRAL AVENUE, SANTACRUZ WEST, GR. MUMBAI, GREATER MUMBAI, MAHARASHTRA-400054

मृत्यु के नाम / PERMANENT ADDRESS OF DECEASED :

601, MARTINS NEST, CENTRAL AVENUE, SANTACRUZ WEST, GR. MUMBAI, GREATER MUMBAI, MAHARASHTRA-400054

मृत्यु के नाम / REGISTRATION NO. : M-21-249000-002700

मृत्यु के नाम / DATE OF REGISTRATION : 05-10-2021

REMARKS (IF ANY) : D.L.NO.001782, DR.MAFESH RATENKE RN-7014062402, HINDOU HOSPITAL.

मृत्यु के नाम / DATE OF ISSUE : 07-10-2021

मृत्यु के नाम / ISSUING AUTHORITY :

उप-रजिस्ट्रार (जन्म व मृत्यु)
SUB-REGISTRAR (BIRTH & DEATH)
MUNICIPAL CORPORATION OF GREATER MUMBAI G NORTH WARD

CREATED ON : 02-10-2021



THIS IS A COMPUTER GENERATED CERTIFICATE WHICH CONTAINS FACSIMILE SIGNATURE OF THE ISSUING AUTHORITY. THE GOVT OF INDIA VIDE CIRCULAR NO. 1-12/2014-ANSICRS DATED 27-JULY-2015 HAS APPROVED THIS CERTIFICATE AS A VALID LEGAL DOCUMENT FOR ALL OFFICIAL PURPOSES.

* प्रत्येक जन्म और मृत्यु पटना नॉन्-प्रायरी करी करा * / ENSURE REGISTRATION OF EVERY BIRTH AND DEATH *



Amrish Desai
601, Martins Nest, Central Avenue,
Santacruz (West), Mumbai – 400054.
India.

Email: amudesai@gmail.com
Mobile: +91 - 9820245702

28 February 2022

Ref: KYC Request Form Folio No: 0038638

Unit: IL & FS Investment Managers Limited

Dear Link Intime India Private Limited Team

I, Mr. Amrish Mahendra Desai {son of share holder(s)} have received the request letter from your end for updating KYC for IL & FS Investment Managers, under Folio No. 0038638, under the joint holding of Ms. Urmila M Desai and Mr. Mahendra M Desai.

Please note that both holders are deceased as per the details mentioned below.

1. Ms. Urmila M Desai passed away on 29th September 2021, and
2. Mr. Mahendra M Desai passed away on 4th February 2015.

In **August 2021** my mother was in communication with your team, with regards to re-validation of Dividend Warrant No. 7254 for Rs.1516.20/-.

Please also note that the original share certificates in the above folio are misplaced and hence kindly advise the process of obtaining duplicate share certificate and the subsequent process of transmission.

I'm attaching all relevant documents that were signed when she was alive for you to process the dividend voucher re-validation, since her bank account is still operational, the funds can be credited to the bank account as per the attached cancelled cheque.

Do call me in case of any concerns.

Regards



Amrish Desai.

Attached:

1. Request letter received from Link Intime India Private Limited
2. Photocopy of Death Certificate of share holder (**Not notarised**) – **Will provide notarised copies with transmission forms.**
3. Entire set of documents including Bank validation of signature, Affidavit & Letter of undertaking processed by Ms. Urmila M Desai.

Amrish Desai
601, Martins Nest, Central Avenue,
Santacruz (West), Mumbai – 400054.
India.

Email: amudesai@gmail.com
Mobile: +91 - 9820245702

18 June 2022

**Ref: KYC STATUS & Ref No: RE1303520 & Ref No: RE1334672 and KYC Request
Form Folio No: 0038638**

Unit: IL & FS Investment Managers Limited

Dear Link Intime India Private Limited Team

This is in reference to the email received from your team on 19th May 2022. I, Mr. Amrish Mahendra Desai {son of share holder(s)} under Folio No. 0038638 under the joint holding of Ms. Urmila M Desai and Mr. Mahendra M Desai.

Please note that both holders are deceased as per the details mentioned below.

1. Ms. Urmila M Desai passed away on 29th September 2021, and
2. Mr. Mahendra M Desai passed away on 4th February 2015.

In August 2021 my mother was in communication with your team, with regards to re-validation of Dividend Warrant No. 7254 for Rs.1516.20/-.

Please **also note that the original share certificates in the above folio are misplaced** and hence kindly advise the process of obtaining duplicate share certificate and the subsequent process of transmission.

I'm attaching all relevant documents that were signed when she was alive for you to process the dividend voucher re-validation, since her bank account is still operational, the funds can be credited to the bank account as per the attached cancelled cheque.

Please note the mailing address as per your request for the shareholder as well as my residential address is:

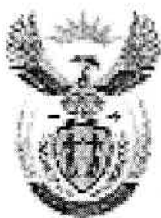
601, MartinsNest, Central Avenue, Santacruz (West), Mumbai – 400054.

Do call me in case of any concerns.

Regards



Amrish Desai.



home affairs

F 6158554

Department:
Home Affairs

50/216 -

REPUBLIC OF SOUTH AFRICA

ABRIDGED

DEATH CERTIFICATE

IDENTITY NUMBER: 461022 5125 08 9
 SURNAME: DESAI
 FIRST NAMES: MAHENDRA MANGOBHAI
 DATE OF BIRTH: 1946-10-22
 GENDER: MALE
 MARITAL STATUS: NEVER MARRIED
 DATE OF DEATH: 2015-02-04
 PLACE OF DEATH: CAPE TOWN
 CAUSE OF DEATH: NATURAL CAUSES

DATE OF ISSUE: 2016-11-16

ISSUED BY: YDJ268

pp. U Titus
 DIRECTOR-GENERAL: HOME AFFAIRS

DEPARTMENT OF HOME AFFAIRS
 DISTRICT REPRESENTATIVE
 2016 -11- 16
 BELLVILLE
 BELLVILLE (89)

