

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : investors@summitsecurities.net ; summitsec@gmail.com

October 16, 2020

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor
Plot No C/1, G Block
Bandra Kurla Complex
Bandra East
Mumbai 400 051

BSE Limited

Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai 400 001

Re: Security Code: 533306, Security ID/Symbol: SUMMITSEC

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir,

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith certificate issued by M/s Parikh & Associates, Company Secretaries for the half year ended September 30, 2020.

Kindly acknowledge receipt.

Thanking You,

Yours faithfully,
For Summit Securities Limited

Jiya Gangwani
Company Secretary
Encl. As stated above.

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office

111, 11th Floor, Sai-Dwar CHS Ltd
Sab TV Lane, Opp Laxmi Industrial Estate,
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400053
Tel No 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020.

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to SUMMIT SECURITIES LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01st April, 2020 to 30th September, 2020.

1. b. The Company has delivered during half year ended on 30th September, 2020 share certificates in respect of requests relating to transmission of securities/deletion of name within the time permitted by SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020, received during the period from 01st April, 2020 to 30th September, 2020. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai
Date: October 15,2020

For Parikh & Associates

P N

Parikh

Digitally signed by P N Parikh
DN: c=IN, o=Personal,
postalCode=400104, st=Maharashtra,
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ad70b06b16d5d30bb138b26dccc4b69
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serialNumber=6a5dffe9f9f1e9723278e1
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Date: 2020.10.15 13:57:07 +05'30'

P. N. Parikh

FCS: 327 CP: 1228

UDIN: F000327B000957558