

April 14, 2023

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400 001	The Manager, Listing Department, National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra- East, Mumbai- 400 051
Scrip Code: 532953	Symbol: VGUARD

Dear Sir/Madam,

Sub: Certificate of Compliance under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the year ended March 31, 2023.

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s. SVJS & Associates, Company Secretaries for the year ended March 31, 2023 in compliance with Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Kindly take the same on your record.

Thanking You,

For V-Guard Industries Limited



Vikas Kumar Tak
Company Secretary and Compliance Officer
Membership No. F 6618

Encl: As above

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31.03.2023

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer requests and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **V-GUARD INDUSTRIES LIMITED**, 42/962, Vennala High School Road, Vennala, Ernakulam, Kerala - 682028, India maintained and provided electronically by **Link Intime India Private Ltd** (The Registrar and Transfer Agent), Surya, 35, Mayflower Avenue, Behind Senthil Nagar, Sowripalayam Road, Coimbatore - 641028, India pertaining to the financial year ended 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A)
- a. The Company has not received any requests relating to transfers of securities during the financial year 1st April 2022 to 31st March, 2023.

b. The Company has delivered during the financial year 1st April 2022 to 31st March, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, duplicate and name change, received during the financial year ended 31st March, 2023, within prescribed time from the respective date of lodgement. As informed to us there were no requests relating to sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: Nil

Peer Review Certificate No.648 /2019

UDIN: F008154E000099344

For SVJS & Associates

Company Secretaries

JAYAN Digitally signed
by JAYAN
KATTIRI
KATTIRI Date: 2023.04.14
16:23:58 +05'30'

CS Jayan K

Partner

FCS: 8154

CP No. 7363



Date: 14.04.2023

Place: Kochi