



SE/2023-24/51

October 07, 2023

<p>The BSE Limited</p> <p>Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai – 400001</p> <p>Scrip Code: 543942, 958095, 959644, 968226</p>	<p>National Stock Exchange of India Limited</p> <p>5th Floor, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra East, Mumbai – 400 051</p> <p>NSE symbol: UTKARSHBNK</p>
--	---

Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2023

Pursuant to Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, please find enclosed the certificates for the quarter ended September 30, 2023 as received from KFin Technologies Limited, Registrar & Share Transfer Agent of the Bank.

You are requested to kindly take the above information on records. The same will also be made available on the website of the Bank.

Thanking You
Yours Faithfully,
For **Utkarsh Small Finance Bank Limited**

Muthiah Ganapathy
Company Secretary & Compliance Officer

Encl: As above.

KFIN/SSV/NSDL/74(5) E/1

Date : 03-10-2023

NATIONAL SECURITIES DEPOSITORY LIMITED
TRADE WORLD 4TH FLOOR
KAMALA MILLS COMPOUND
SENAPATI BAPAT MARG
LOWER PAREL
MUMBAI - 400 013

Dear Sir(s),

Sub: Certificate under Regulation 74(5) for the quarter ended 30 Sep 2023

We as Registrars, Share Transfer and Depository Services agents of the UTKARSH SMALL FINANCE BANK LIMITED, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully,

for KFIN TECHNOLOGIES LIMITED
SRINIVAS
SUDHEER
VENKATAPUR
AM

Digitally signed by
SRINIVAS SUDHEER
VENKATAPURAM
Date: 2023.10.03
11:53:46 +05'30'

V S SUDHEER
Vice President

CC TO:

UTKARSH SMALL FINANCE BANK LIMITED
Utkarsh Tower
NH - 31 (Airport Road)
Sehmalpur, Kazi Sarai, Harhua
VARANASI UP
221105

KFIN/SSV/CDSL/74(5) E/1

Date : 03-10-2023

CENTRAL DEPOSITORY SERVICES (INDIA) LTD
REGD OFF: MARATHON FUTUREX,
A WING, 25TH FLOOR
NM JOSHI MARG,
LOWER PAREL (EAST)
MUMBAI - 400 023

Dear Sir(s),

Sub: Certificate under Regulation 74(5) for the quarter ended 30 Sep 2023

We as Registrars, Share Transfer and Depository Services agents of the UTKARSH SMALL FINANCE BANK LIMITED, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully,

for KFIN TECHNOLOGIES LIMITED

SRINIVAS
SUDHEER
VENKATAPURAM

Digitally signed by
SRINIVAS SUDHEER
VENKATAPURAM
Date: 2023.10.03
11:54:20 +05'30'

V S SUDHEER
Vice President

CC TO:

UTKARSH SMALL FINANCE BANK LIMITED
Utkarsh Tower
NH - 31 (Airport Road)
Sehmalpur, Kazi Sarai, Harhua
VARANASI UP
221105