

Plot No. 21 & 22, Sector-6, Faridabad-121 006 (Haryana) INDIA Tel.: 91-129-2240411, 2306700 2306783

13th April, 2023

Listing Department National Stock Exchange of India Limited

"Exchange Plaza" Bandra Kurla Complex Bandra (E), Mumbai- 400051

NSE SYMBOL - SPLIL

Listing Department BSE Limited

1st Floor, P.J. Towers, Dalal Street, Mumbai- 400001

SCRIP-532651

Subject-

Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements, Regulation, 2015 for the year ended 31st March, 2023

Dear Sir/Madam,

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (M/s KFIN Technologies Limited, formerly known as KFIN Technologies Private Limited) along with certificate issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the year ended 31st March, 2023

Kindly take it on record.

Thanking You

Truly yours

For, SPL Industries Limited

Vishal Srivastava

Vishal Srivastava

(Company Secretary & Compliance Officer)

Encl. – As above



D-427, 2nd Floor, Palam Extn., Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075

Email Id: asacs2022@gmail.com

Phone: 011-45052182

To, The Board of Directors SPL Industries Limited Office No- 202, IInd Floor, Vikramaditya Tower Alaknanda Market, Kalkaji, Delhi - 110019

<u>Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 during the period 01.04.2022 to 31.03.2023</u>

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to "M/s. SPL Industries Limited" having its Registered Office at Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi 110019 maintained by KFin Technologies Limited (formerly known as Kfin Technologies Private Limited), Registrar and Share Transfer Agent of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares and non- convertible debentures of the Company for the period ended on 31st March, 2023 and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the period 01.04.2022 to 31.03.2023:

- A) No request was received for physical transfer during the period from 01st day of April, 2022 to 31st day of March, 2023.
- B) Certificates in respect of request(s) for deletion of name, transmission of shares and for issue of Duplicate /Split / Consolidation and Sub-division etc., as applicable if any, during the period from 01st day of April, 2022 to 31st day of March, 2023 have been issued within stipulated time as prescribed under Listing Regulations.

FOR AGARWAL S. & ASSOCIATES

Company Secretaries

ICSI Unique Code: P2003DE049100 Peer Review Cert. No. 2725/2022

GARIMA Digitally signed by GARIMA GROVER Date: 2023.04.13
11:28:49 +05'30'

CS Garima Grover Partner ACS 27100 CP 23626

UDIN: A027100E000080197

Place: New Delhi Date: 13.04.2023

ICSI Unique Code: P2003DE049100 MSME Udyog Aadhaar Number: DL10E0008584

Date: 11.04.2023



R/KFin/SPI/REG 40 (9) 4TH Qtr

THE COMPANY SECRETARY SPL INDUSTRIES LIMITED 202, IIND FLOOR VIKRAMADITYA TOWER ALAKNANDA MARKET KALKAJI, NEW DELHI 110019

Dear Sir / Madam,

Unit: SPL INDUSTRIES LIMITED

Sub: Regulation 40(9) of SEBI (LISTING OBILIGATONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

We confirm the following

- A) Not received any valid share transfer request during the period from April 01, 2022 to March 31, 2023.
- B) Share certificates in respects of requests for deletion of name and transmission of shares received during the period April **01, 2022 to March 31, 2023** have been processed within the stipulated time period.

Thanking You,

Yours faithfully, For KFin Technologies Limited Formerly known as KFin Technologies Private Limited)

Raj Kumar Kale

Asst. Vice President

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649

