

# CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3<sup>rd</sup> Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 [www.ctcl.co.in](http://www.ctcl.co.in) [inictclbse@gmail.com](mailto:inictclbse@gmail.com) CIN:L51909MH1980PLC231713

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Date:14/04/2021

To,  
The Manager  
DCS-CRD  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort,  
Mumbai – 400001

Scrip Code: 504340

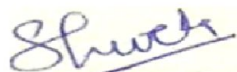
Sub:- Compliance Certificate pursuant to Regulation 7 (3) of the SEBI(LODR) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a certificate, duly signed by Authorised Representaive of Purva Sharegistry (India) Pvt. Ltd.Share Transfer Agent and Compliance Officer of the Company, for the half year ending on 31<sup>st</sup> March,2021

This is for your information and records.

Thanking You  
Yours Faithfully  
**For Confidence Finance and Trading Limited**





**SHWETA SHARMA**  
**COMPANY SECRETARY &**  
**COMPLIANCE OFFICER**

Encl: as above

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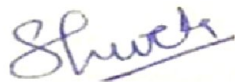
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## **COMPLIANCE CERTIFICATE PURSUANT TO REGULATION 7 (3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

### **FOR THE HALF YEAR ENDED ON 31<sup>st</sup> March,2021**

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., **Purva Shareregistry (India) Pvt. Ltd.** ("RTA"), having its Registered office at Unit no. 9 Shiv Shakti Ind. Estt. J .R. Borichamarg Opp. Kasturba Hospital Lane Lower Parel (E)Mumbai 400 011 and who are registered as Registrar & Transfer Agent in category-I with the SEBI vide Registration Number INR00000112.

**For Confidence Finance and Trading Limited**



**CS Shweta Sharma  
Company Secretary  
and Compliance Officer**



**For Purva Shareregistry (India) Pvt. Ltd**

**DEEPALI  
DHURI**

Digitally signed by  
DEEPALI DHURI  
Date: 2021.04.14 11:02:58  
+05'30'

**Authorized Representative**

**Date: 13<sup>th</sup> April, 2021**