



Date : 14.04.2021

<b>To,</b> <b>Listing Department</b> <b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex Bandra (East), Mumbai-400 05 Scrip Code:EMKAY	<b>To,</b> <b>Listing Department</b> <b>BSE Limited</b> P. J. Tower, Dalal Street, Mumbai 400 001 Scrip Code: 532737
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Dear Sirs,

**Subject : Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements ) Regulations, 2015.**

As per the requirement of Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number : INR000004058.

Thanking you,

Yours Faithfully,  
For Emkay Global Financial Services Limited

B. M. Raul  
Company Secretary & Compliance Officer



  




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Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L27120MH1995PLC08489

**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**