

April 13, 2021

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
BSE Scrip Code: 532636

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
NSE Symbol: IIFL

Dear Sir(s)/Madam(s),

<u>Sub:-Submission of Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations</u> and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the half year ended March 31, 2021, counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take it on record and acknowledge the receipt of same.

Thanking You,

Yours faithfully,

For IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Sneha Patwardhan Company Secretary Mumbai

Encl: a/a



April 12, 2021

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
BSE Scrip Code: 532636

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
NSE Symbol: IIFL

Dear Sir(s)/Madam(s),

Sub:-Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic shares and Non Convertible Debentures transfer facilities are maintained during the period October 01, 2020 to March 31, 2021 (both days inclusive) by the Company's Registrar & Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

Yours truly,

For IIFL Finance Limited (Formerly Known as IIFL Holdings Limited)

For Link Intime India Private Limited



Sneha Patwardhan Company Secretary



Authorized Representative