

October 14, 2021

BSE Limited  
Department of Corporate Services  
1<sup>st</sup> Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort,  
Mumbai 400001

National Stock Exchange of India Limited  
Exchange Plaza  
Plot No.C-1, G Block  
Bandra-Kurla Complex  
Bandra (East)  
Mumbai – 400 051

**Security Code: 523405**

**Symbol: JMFINANCIL**

Dear Sirs,

**Re: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021.**


We are enclosing the certificate of compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 as received by us from our Registrar and Share Transfer Agents, viz., KFin Technologies Private Limited, for the quarter ended September 30, 2021.

Kindly acknowledge receipt of the above Certificate.

Thank you.

Yours faithfully,  
for JM Financial Limited



 **Prashant Choksi**  
Group Head – Compliance, Legal  
& Company Secretary

Encl. As above.

Ref : KFPL/TBS/74(5)E/L  
Date : October 14, 2021

To,

**Bombay Stock Exchange Limited**  
Phi rozeJeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001

**BSE Scrip Code: 523405**

To,

**National Stock Exchange of India Ltd.**  
Exchange Plaza, C-1 Block G,  
Bandra-Kurla Complex,  
Bandra (E) Mumbai - 400 051

**NSE Scrip Code: JMFINANCIL**

Dear Sir(s)

**Sub : Certificate under Regulation 74 (5 ) for the Period 1<sup>st</sup> July 2021 to 30<sup>th</sup> September, 2021**

We as Registrar and Share Transfer agents of the **JM FINANCIAL LTD**, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

We hereby also confirm that the security certificates received for dematerialization have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,  
For **KFin Technologies Private Limited**



**Ramulu Peraboina**  
Manager

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

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