

Date: 02.06.2021



To,
Dept. of Corporate Services,
BSE Ltd.
25th Floor, Phiroze Jeejeebhoy Tower,
Dalal Street, Fort,
Mumbai – 400 001

To,
Listing Department,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E) Mumbai - 400 051

Scrip Code: 539725

Symbol: GOKULAGRO

Sub: Transfer of Penalty Amount to Investor Protection and Education Fund (IPEF)
Authority received from the disposition of equity shares inadvertently purchased.

Dear Sir / Madam,

This is in furtherance of our letter dated 17.05.2021, informing about the inadvertent trade of equity shares of Gokul Agro Resources Limited (GARL) by Mr. Dipak Harwani, General Manager- Marketing of the Company during the period of trading window closure.

In this regard, it is informed that as per the directions of the members of Audit Committee held on 17.05.2021, Mr. Dipak Harwani has disposed off 50 Equity Shares of GARL on 25/05/2021 (purchased by him on 23.04.2021) and duly transferred Rs. 320/- (Rupees Three Hundred and Twenty only) to the Company on 01.06.2021, being the penalty amount equivalent to the amount of profit earned against the said disposition.

Further in compliance with the Securities Exchange Board of India (SEBI) Circular dated 23.07.2020, it is informed that GARL has transferred the said amount of Rs. 320/- (Rupees Three Hundred and Twenty only) to the dedicated Bank Account of IPEF Authority on 02.06.2021, vide UTR SBIN321153423935.

Hope you find the above in order.

Thanking you.

For, Gokul Agro Resources Limited


Chinpar Jethwani
Company Secretary & Compliance Officer



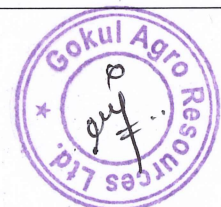
**Report by Gokul Agro Resources Limited for violations related to Code of Conduct
under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

**As per Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading)
Regulations, 2015**

Sr no.	Particulars	Details						
1	Name of the listed company ✓ / Intermediary/Fiduciary	Gokul Agro Resources Limited						
2	Please tick appropriate checkbox Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company						
A. Details of Designated Person (DP)								
	i. Name of the DP	Mr. Dipak Harwani						
	ii PAN of the DP	ACOPH5494H						
	iii Designation of DP	General Manager- Marketing						
	iv Functional Role of DP	Mr. Dipak Harwani is General Manager – Marketing of the Company and is only involved in the Marketing functions, and not any other administrative or management functions of the Company.						
	v Whether DP is Promoter or belongs to Promoter Group	No						
B. If Reporting is for immediate relative of DP								
	i. Name of the immediate relative of DP	N.A.						
	ii PAN of the immediate relative of DP	N.A.						
C. Details of transaction(s)								
	i Name of the scrip	Gokul Agro Resources Limited Scrip Code: 539725(BSE) and GOKULAGRO (NSE)						
	ii No of shares traded and value (Rs.) (Date- wise)	<table border="1"> <thead> <tr> <th>Date</th> <th>No.s of Shares</th> <th>Value(Rs.)</th> </tr> </thead> <tbody> <tr> <td>April 23, 2021</td> <td>50</td> <td>1067.50</td> </tr> </tbody> </table>	Date	No.s of Shares	Value(Rs.)	April 23, 2021	50	1067.50
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D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter		
Sr No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	
4	Details of violations observed under Code of Conduct	Purchase of 50 Equity Shares of Gokul Agro Resources Limited during the period of Closure of Trading Window.
5	Action taken by Company	<p>As a penalty for inadvertent trade during the period of Closure of Trading Window, Mr. Dipak Harwani has been asked to dispose off the said 50 Equity Shares of GARL through stock market mechanism within the period of 7 days after UPSI is made public.</p> <p>Further, the profit, if any, arising out of such disposition of shares shall be remitted to GARL, for onward remittance to SEBI (Investor Protection and Education Fund).</p>
6	Reasons recorded in writing for taking action stated above	Mr. Dipak Harwani has conveyed in his letter dated April 25, 2021 that at the time of entering into the transaction, he was under 'Home Quarantine' due to infection of some disease. Further, due to oversight, he purchased Equity Shares of GARL from his own resources without having realized that the Trading Window for shares is closed.



		Mr. Dipak Harwani has declared that at the time of entering into the transaction, he was not in possession of any Unpublished Price Sensitive Information and the said trade was carried out inadvertently without any intent of reaping abnormal gains.												
7	Details of the previous instances of violations, if any, since last financial year	None												
8	If any amount collected for Code of Conduct Violation(s)													
	(i) Mode of transfer to SEBI- IPEF (Online/Demand Draft)	Online												
	(ii) Details of transfer/payment													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Gokul Agro Resources L</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>State Bank of India Overseas Branch, Ahmedabad 00000034612088106</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>SBIN321153423935</td> </tr> <tr> <td>Transaction date</td> <td>02.06.2021</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs 320/-</td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor	Gokul Agro Resources L	Bank Name, branch and Account number	State Bank of India Overseas Branch, Ahmedabad 00000034612088106	UTR/Transaction reference Number	SBIN321153423935	Transaction date	02.06.2021	Transaction Amount (in Rs.)	Rs 320/-
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Date: 02.06.2021
Place: Ahmedabad

Yours Faithfully
For, Gokul Agro Resources Limited
Chinar Jethwani
Chinar Jethwani
Company Secretary and Compliance Officer

