



# Chartered Capital And Investment Limited

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Fax : 079 - 2657 5731, E-mail : info@charteredcapital.net, Website : www.charteredcapital.net, CIN : L45201GJ1986PLC008577.

CCIL/SE/2022  
May 26, 2022

Through BSE listing centre online portal

To,  
The Secretary  
**BSE Limited**  
Dalal Street  
P J Tower, Fort,  
Mumbai-400001

Dear Sir,

**Ref: Company Code No.511696**

**Sub: Submission of Annual Secretarial Compliance Report for the financial year ended March 31, 2021**

In compliance of Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019, we enclose herewith Annual Secretarial Compliance Report of the Company for the financial year ended March 31, 2022 issued by Nahidakhtar Vhora & Co, Practicing Company Secretary.

Kindly take the same on your record.

Thanking You,

Yours Sincerely  
**For Chartered Capital and Investment Limited**

  
**Manoj Kumar Ramrakhyani**  
Company Secretary

Encl: As above



Secretarial compliance report of **CHARTERED CAPITAL INVESTMENT LIMITED** for  
the year ended March 31, 2022

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I, Nahidakhtar Vhora & Co., Company Secretaries in whole time practice have examined:

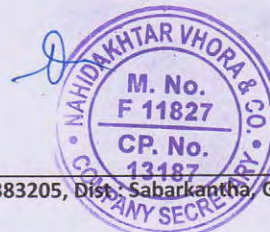
- A. all the documents and records made available to us and explanation provided by **CHARTERED CAPITAL INVESTMENT LIMITED** (CIN:L45201GJ1986PLC008577) ("the listed entity"),
- B. the filings/ submissions made by the listed entity to the stock exchanges,
- C. website of the listed entity,
- D. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued there under, to the extent applicable to the Company, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the company during the audit period)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the company during the audit period)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the company during the audit period)
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the company during the audit period)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the company during the audit period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) SEBI (Merchant Bankers) Regulations, 1992.
- j) SEBI (Intermediaries) Regulations, 2008.



And circulars/ guidelines issued there under; and based on the above examination, I/We hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulation / Circular / Guidelines Including Specific Clause)	Deviations	Observation / Remarks of Practicing Company Secretary
Nil			

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under insofar as it appears from my/our examination of those records.

- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through Guidance Note on Annual Secretarial 14 Compliance Report various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

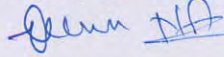
Sr. No.	Action taken By	Details of Violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observation / Remarks of Practicing Company Secretary
Nil				

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observation of the Practicing Company Secretary in the previous report	Observation made in the Secretarial compliance report for the year ended March 31, 2020	Action taken by listed entity, if any	Comments of Practicing Company Secretary on the action taken by listed entity
NA				

Place: Prantij  
Date: May 25, 2022

for Nahidakhtar Vhora & Co.  
Company Secretaries

  
Nahidakhtar A. Vhora  
(Proprietor)  
M.No. 11827  
CP. No.: 13187  
UDIN: F011827D000389259

