



**R.J. SHAH & CO. LTD.**  
ENGINEERS & CONTRACTORS

MOBILE : 9869083044  
E-MAIL : rjshahandco191@gmail.com  
WEBSITE : www.rjshahandco.com  
Regd. Office :  
NEAR WADALA CONTAINER YARD,  
MAHUL ROAD, ANTOP HILL,  
MUMBAI - 400 037. (INDIA)  
CIN NO. : L45202MH1957PLC010986  
GSTIN : 27AAACR2584D1ZI

**Ref. No: R/091/2024**

**Date: 27<sup>th</sup> April, 2024**

To,  
The Secretary,  
Stock Exchange,  
Mumbai - 400 023.

Dear Sir,

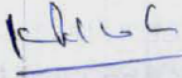
**Sub: Regulation 40 (9) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015  
("Listing Regulations")**

**Scrip Code No. 509845**

Please find enclosed herewith aforesaid Compliance Certificate Dated 27<sup>th</sup> April, 2024 duly signed and issued by Ganesh Narayan & Company, Company Secretaries, Mumbai, and we request you to please take the same on record.

Thanking You,  
Yours Faithfully,

**FOR R. J. SHAH & CO. LTD**

  
**KALINDI R. SHAH  
MANAGING DIRECTOR  
DIN No. 00402482  
Encl: As above**

**Ganesh Narayan and Company**  
**Company Secretaries**

**Address: -1A 405, Dheeraj Enclave CHS LTD, W.E. Highway, Borivli (East), Mumbai 400 066.**

**Mob: 9833256030 Email: csganesh2013@gmail.com**

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CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (AS AMENDED) FOR THE YEAR ENDED ON 31ST MARCH 2024 .

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. April 1, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019. Further, SEBI vide its circular dated September 7, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 2, 2020.

SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that listed companies shall issue the securities in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to R. J. SHAH & CO. LTD ('Company') having Corporate Identification Number: L45202MH1957PLC010986 and registered office situated at Mahul Road, Antop Hill Wadala, Mumbai-400037 maintained and provided by SATELLITE CORPORATE SERVICES PVT. LTD Registrar and Share Transfer Agent pertaining to the financial year ended March 31, 2024 for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that during the financial year ended March 31, 2024

A) The 'Letter of Confirmation' has been issued as per SEBI circular dated January 25, 2022 to security holders in respect of requests received during the financial year ended March 31, 2024 for transmission, deletion of names, issue of duplicate securities certificate, splitting of securities certificate and renewal or exchange of securities

**Ganesh Narayan and Company  
Company Secretaries**

**Address: -1A 405, Dheeraj Enclave CHS LTD, W.E. Highway, Borivli (East), Mumbai 400 066.**

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certificate within 30 days from the respective dates of lodgement except those rejected on technical grounds;

B) There were no requests received relating to transfer, transposition of securities, consolidation, sub-division and endorsement of calls/allotment monies during the financial year ended March 31, 2024.

**Date:27-04-2024**

**Place: Mumbai**

**For GANESH NARAYAN & CO.  
Practicing Company Secretaries**

**GANESH  
NARAYAN  
GANESH NARAYAN**

**PROPRIETOR**

**CP No. 2238 & FCS No. 6910**

**Peer Review No. - 3781/2023**

**UDIN : F006910F000258788**

Digitally signed by GANESH NARAYAN  
DN: cn=GANESH NARAYAN, o=GANESH NARAYAN & CO., ou=GANESH NARAYAN & CO., email=ganesh2013@gmail.com, c=IN, postalCode=400066, st=Maharashtra, serial=1, A=05, Dheeraj Enclave Tower,  
Mumbai, Mumbai Maharashtra India-400066, O=GANESH NARAYAN & CO., OU=GANESH NARAYAN & CO., CN=GANESH NARAYAN  
Date: 2024.04.27 08:11:58 +05'30'