

29.10.2019

To,
The Manager,
Listing Department,
National Stock Exchange of India Ltd,
Exchange Plaza, C-1, Block-G,
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051,
Ph: No: 022-26598100
Scrip Code: GEOJITFSL - EQ

To,
The Manager,
Listing Department,
The BSE Limited,
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai - 400 001,
Ph No: 022-22721233
Scrip Code: 532285

Dear Sir/Madam,

Sub: Share Transfer Audit Report

Please find enclosed herewith the Share Transfer Audit Report for the half year ended 30th September, 2019 as required under Regulation 40(9) and Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,
Yours faithfully,

For Geojit Financial Services Ltd



Liju K Johnson
Company Secretary





TO WHOMSOEVER IT MAY CONCERN

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Geojit Financial Services Limited maintained by its Registrar and Share Transfer Agents, S.K.D.C CONSULTANTS LIMITED pertaining to transfer of equity shares of the company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company.

We hereby certify that all the deletion of holder's name and Issue of duplicate share certificate documents (physical) received during the period from 01.04.2019 to 30.09.2019 in respect of Equity shares of M/s. Geojit Financial Services Limited and the same was processed within 15 days and there are no pending documents for more than 15 days. The register of members is updated while processing the demat, deletion of holder's name and issue of duplicate share certificate.


There were no Transmission, Transposition, attainment of majority, change in name and remat requests received during the half-year ended on 30th September, 2019.

All the demat requests received during the period from 01.04.2019 to 30.09.2019 were processed within 15 days and there are no pending documents for more than 15 days.

We further certify that there were no requests for consolidation and split of share certificates received or issued during the period from 01.04.2019 to 30.09.2019.

**For Satheesh and Remesh
Company Secretaries**




N. Satheesh Kumar
Partner
C P No.6607

Place : Kochi
Date : 25.10.2019

UDIN: A016543A000166231