

# ORIENTAL CARBON & CHEMICALS LIMITED

[Corporate Identity Number (CIN) - L24297WB1978PLC031539]  
Regd. Office : "Duncan House", 31, Netaji Subhas Road, Kolkata - 700 001

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E-mail : occlkol@gmail.com, investorfeedback@occlindia.com, Website : www.occlindia.com

October 20, 2020

**The Manager**

BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001

**The Manager**

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex  
Bandra (E)  
Mumbai – 400 051

**BSE STOCK CODE: 506579**

**NSE SYMBOL: OCCL**

Dear Sirs/Madam,

**Sub: Certificate under Regulation 40(9) of SEBI (LODR), 2015 for the half year period ended 30<sup>th</sup> September, 2020.**

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing here with requisite Certificate for the half year ended 30<sup>th</sup> September 2020 for your record and information.

The said Certificate has been issued by M/s. P. Sarawagi & Associates, Company Secretaries on 19<sup>th</sup> October, 2020.

Thanking you,

Yours faithfully,  
For **Oriental Carbon & Chemicals Ltd**



**Pranab Kumar Maity**  
**Company Secretary**

**Encl.: As above**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

We have examined the Registers, files and other documents relating to **Oriental Carbon & Chemicals Limited** maintained by the Company and **Link Intime India Private Limited**, the Company's Registrar and Share Transfer Agents (RTA), pertaining to equity shares of the Company, for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its RTA, we hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2020** :

- A) Share Certificate(s) relating to the transmission of shares received during the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** as entered in the Memorandum of Transfers, have been issued within 30 (thirty) days of the date of lodgment thereof, except those rejected on technical grounds.
- B) Share Certificate(s) relating to requests for name deletion and consolidation received during the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** have been issued within 30 (thirty) days of the date of lodgment complete documents thereof.

As informed to us, the Company has not received any request for sub-division/rematerialisation etc. of share certificates during the half year ended **30<sup>th</sup> September, 2020**.

**For P. SARAWAGI & ASSOCIATES**  
**Company Secretaries**



*(Handwritten signature of P.K. Sarawagi)*

**( P.K. Sarawagi )**  
**Proprietor**

Membership No. FCS 3381  
Certificate of Practice No. : 4882  
ICSI UDIN : F003381B000989828

Place : Kolkata  
Date : October 19, 2020

Note : In view of the restricted movement because of spread of Covid-19 pandemic, the information, documents and records were provided by the Company/RTA through electronic modes and could not be verified physically at the RTA's Office.