



Biocon Limited

20th KM, Hosur Road
Electronic City
Bangalore 560 100, India
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CIN : L24234KA1978PLC003417

www.biocon.com

April 12, 2021

To The Secretary BSE Limited Department of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001 Scrip Code - 532523	To The Secretary National Stock Exchange of India Limited Corporate Communication Department Exchange Plaza, Bandra Kurla Complex Mumbai – 400 050 Scrip Symbol - Biocon
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Subject: Compliance Certificate under Regulation 40 (9) of SEBI Listing Regulations for the half year ended March 31, 2021.

Dear Sir/Madam,

With reference to the above subject, please find enclosed the Half Yearly Compliance Certificate under Regulation 40(9) of SEBI Listing Regulations, issued by Mr. Pradeep B Kulkarni, Practicing Company Secretary with regard to transfer/ sub-division/ consolidation/ renewal/ exchange or endorsement of calls/ allotment of monies for the half year ended March 31, 2021.

Kindly take the same on record.

Thanking You,

For **Biocon Limited**



Mayank Verma
Company Secretary and Compliance Officer

V SREEDHARAN AND ASSOCIATES

Company Secretaries

No. 291, 1st Floor, 10th Main Road, 3rd Block,
Jayanagar, Bengaluru - 560 011

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CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2021

To,
KFin Technologies Limited
Karvy Selenium Tower B, Plot No.31 & 32,
Financial District, Nanakramguda,
Gachibowli, Hyderabad-500032,
Telangana

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **BIOCON LIMITED** maintained by KFin Technologies Private Limited, Hyderabad (RTA) pertaining to transfer of equity shares of the Company for the period from 01.10.2020 to 31.03.2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that:-

- A. The Company has not received any request for transfer of shares during the half year ended 31.03.2021 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year does not arise.
- B. No request was received for issue of duplicate Share Certificate, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the aforesaid period.

For **V. Sreedharan & Associates**

(Pradeep B Kulkarni)
Partner
ACS 7260; CP No. 7835



April 09, 2021
Bengaluru
UDIN: F007260C000031559

C.C. to:
Biocon Limited
Bengaluru