

# NALWA SONS INVESTMENTS LIMITED

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Regd. Office: 28, Najafgarh Road,  
Moti Nagar Industrial Area,  
Delhi – 110 015. India  
CIN: L65993DL1970PLC146414

April 24, 2021

BSE Ltd.  
Corporate Relationship Department,  
1st Floor, New Trading Ring,  
Rotunda Building, P J Towers,  
Dalal Street, Fort, Mumbai – 400 001  
corp.relations@bseindia.com

**Security Code No.: 532256**

National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor,  
Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra(E),  
Mumbai-400051  
cm1ist@nse.co.in

**Security Code No.: NSIL**

**Sub: Compliance Certificate under Reg. 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

In terms of the provisions of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith a Certificate dated 23<sup>rd</sup> April, 2021, from Mr. Rajesh Garg of M/s Rajesh Garg & Co., Company Secretaries for the half year ended on 31<sup>st</sup> March, 2021.

You are requested to kindly take the same on record.

Thanking you.

Yours sincerely,  
For **Nalwa Sons Investments Limited**

  
(Ajay Mittal)  
Company Secretary

Encl.: As mentioned above

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021.**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and its press release on 3<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after deadline on 1<sup>st</sup> April, 2019.

Accordingly, I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Nalwa Sons Investments Limited ("the Company") maintained by M/s Link Intime India Pvt. Limited, Registrar & Share Transfer Agent (RTA) of the Company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, and to the best of my knowledge, I hereby certify that:

A) (i) the Company has not received any request for share transfer during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021;


(ii) the Company has delivered the share certificates in respect of requests relating to sub-division (split), renewal, duplicate share certificate(s) in lieu of original lost/misplaced and consolidation received during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021, within thirty days from the respective date of lodgment, except those rejected on technical grounds.

B) Any other information, if applicable: Nil

Place: Hisar  
Date: April 23, 2021  
UDIN=F005960C000163920



For Rajesh Garg & Co.

  
Rajesh Garg  
Company Secretary  
C.P. No. 4093

