



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB

VIL/SECRETARIAL/2021-22

October 20, 2021

National Stock Exchange of India Ltd, Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051 NSE Symbol: VASWANI	Bombay Stock Exchange Ltd., Market Operations Dept. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001 BSE Script Code: 533576
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Dear Sir/Madam,

Sub: Compliance Certificate under Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2021

With reference to captioned subject, Please find attached herewith a copy of Compliance Certificate received from, Link Intime India Pvt Ltd., RTA bearing Registration Number INR000004058 Registrar and Share Transfer Agents of the Company for quarter ended September 30, 2021.

We request you to kindly take the same on records.

Yours Sincerely,

For Vaswani Industries Limited

Director, Vaswani Industries Ltd

(Yashwant Vaswani)

Wholetime Director **Director.**

DIN: 01627408

Enclosed as stated above

Date: 07.10.2021

Vaswani Industries Limited
Bahesar Road, Near Cycle Park
Vill - Sondra Phase-II,
Industrial Area
Siltara Raipur (C.G.)
Raipur-493221
Chhattisgarh

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that Registrars Association of India (RAIN) had vide their representations requested SEBI to consider and extend the aforesaid relaxation up to September 30, 2021. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the relaxation in time lines provided in the aforesaid circular up to July 31, 2021 and within 15 days from August 1, 2021. We request you to kindly take note of the above in your records.

Note: - There were no requests received from the shareholders for the quarter ended 30th September 2021. This certificate is issued on the request of the company for compliance purpose.

Thanking You,

Yours faithfully,
For Link Intime India Pvt. Ltd



Balaji Sharma
Vice President – Corporate Registry