

Ref. No.: UTI/AMC/CS/SE/2023-24/0297

Date: 10th April, 2023

National Stock Exchange of India Limited

Exchange Plaza Plot No. C/1

G Block Bandra – Kurla Complex

Bandra (East) Mumbai – 400 051.

Scrip Symbol: UTIAMC

BSE Limited

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai – 400 001.

Scrip Code / Symbol: 543238 / UTIAMC

Sub: Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir / Madam,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the Listing Regulations), we are forwarding herewith the Compliance Certificate issued by a Practicing Company Secretary under Regulation 40 (9) of the Listing Regulations for the financial year ended 31st March, 2023.

We request you to kindly take the aforesaid information on record and disseminate the same on your website.

Thanking you,

For **UTI Asset Management Company Limited**

Arvind Patkar

Company Secretary and Compliance Officer

Encl.: As above



VISHAL N. MANSETA (B.Com, A. C. S.)

Practicing Company Secretary

Off. No. 12, B Wing, Smital Avenue, Hatkesh Udyog Nagar Road, Near JP North,
Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780

Web : www.vishalmanseta.com Email : vishal_manseta@rediffmail.com / vishal@vishalmanseta.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR
THE FINANCIAL YEAR ENDED MARCH 31, 2023**


I have examined the relevant books, registers, files and other documents of **UTI Asset Management Company Limited (the 'Company')** maintained by its Registrar and Share Transfer Agent (RTA) i.e. **KFin Technologies Limited (formerly known as 'KFin Technologies Private Limited')** for the purpose of issuing the Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the '**Listing Regulations**'). Based on the information provided by the RTA and the Company, I hereby certify that:

- A) No physical Share Certificate along with the Share Transfer form, was lodged during the period from **April 01, 2022 to March 31, 2023**;
- B) There was no case of sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies; and
- C) Any other information if applicable:
Request received for issuance of duplicate share certificate was processed within the prescribed timeline and in accordance with the provisions of the Companies Act, 2013, the Listing Regulations and circulars issued thereunder.

For Vishal N. Manseta
PRACTISING COMPANY SECRETARY

Place : Mumbai
Date : April 10, 2023
UDIN : A025183E000045830




(Vishal Manseta)
M. No.: 25183
C.P. No.: 8981
PRC :1584/2021