

19<sup>th</sup> June, 2020

**BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400 001

SCRIP CODE: **511147**

Company: **Wall Street Finance Limited**

Dear Sirs,

**Subject: Annual Secretarial Compliance Certificate**

Please find attached herewith the annual secretarial compliance certificate as issued by M/s. D. M. Zaveri & Co., Practicing Company Secretary for the financial year ended 31<sup>st</sup> March, 2020.

Kindly take the same on record and acknowledge the receipt.

Yours faithfully,  
For Wall Street Finance Limited

---

Chaitali Desai  
Company Secretary

Encl.: As above

### Secretarial Compliance Report of Wall Street Finance Limited for the Financial year ended 31<sup>st</sup> March, 2020

To,  
**The Wall Street Finance Limited**

113-122, 1<sup>st</sup> Floor, A wing, Chintamani Plaza,  
Andheri Kurla Road, Chakala, Andheri  
(East), Mumbai – 400 009

We, D. M. Zaveri & Co, Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by Wall Street Finance Limited (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March, 2020 (“Review Period”) in respect of compliance with the provisions of:
  - (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (e) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

# D. M. ZAVERI & Co.

Company Secretaries

**CS Dharmesh M. Zaveri**  
B Com., F.C.S.

145, 1<sup>st</sup> Floor, Kesar Residency, Above Bagwati Restaurant, Sector 3, Charkop, Kandivali (West), Mumbai – 400 067.

Tel.: 022-28679660, 022-49712722 (M). 98203 20503, E-mail.: dmz@dmzaveri.com, Website: [www.dmzaveri.com](http://www.dmzaveri.com)

| Sr. No | Compliance Requirement (Regulations/ circulars / guidelines including specific clause) | Deviations | Observations/ Remarks of the Practising Company Secretary |
|--------|--|------------|---|
| Nil    |  |            |   |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records;
- (c) Following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder;

| Sr. No. | Action taken by | Details of violation | Details of action taken e.g. fines, warning letter, debarment etc. | Observations/ remarks of the Practising Company Secretary, if any |
|---------|-----------------|----------------------|--|---|
| Nil     |                 |                      |  |   |

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports;

| Sr. No.        | Observation of Practising Company Secretary in previous reports | Observation made in the secretarial compliance report for the year ended... | Action taken by listed entity if any | Comments of the Practising Company Secretary on the action taken by the listed entity |
|----------------|---|---|--------------------------------------|---|
| Not applicable |   |   |                                      |   |

For D. M. Zaveri & Co.  
Company Secretaries

Dharmesh Zaveri

(Proprietor)

FCS. No.: 5418

CP No.: 4363

Place: Mumbai

Date: 17<sup>th</sup> June 2020

ICSI UDIN: F005418B000350323