

SRESTHA FINVEST LIMITED

Date: October 09, 2023

To
The Manager
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the quarter ended 30/09/2023

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 30/09/2023 are as follows:

1. Disclosure under 13(3) of SEBI (LODR) Regulations, 2015, Investor Complaints for the quarter ended September 30, 2023.
2. Compliance Report on Corporate Governance for the half year ended September 30, 2023.
3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2023.
4. Reconciliation of Share Capital Audit for the quarter ended September 30, 2023.

This is for your information and records.

Yours faithfully,
For Srestha Finvest Limited

A. JITENDRA
KUMAR
BAFNA

Digitally signed by A.
JITENDRA KUMAR
BAFNA
Date: 2023.10.09
17:51:39 +05'30'

Company Secretary & Compliance Officer

Encl: as above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011
Tel : 022-3199 8810 / 4961 4132 • Email: support@purvashare.com • Web : www.purvashare.com

03/10/2023

To,

SRESTHA FINVEST LIMITED - INE606K01023

Dear Sir/Madam.

Sub : Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended September, 2023, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SRESTHA FINVEST LIMITED - INE606K01023 - Details of Share certificates dematerialised from 01/07/2023 to 30/09/2023

| FOLIO | NAME OF SHAREHOLDER | CERTIFICATE NO. | DIST. NO. (FROM) | DIST. NO.(TO) | SHARES | DEMATERIALISED ON |
|----------|---------------------|-----------------|------------------|---------------|--------|-------------------|
| 00007264 | KUSUM GUPTA | 0101861 | 75595801 | 75595850 | 50 | 14/07/2023 |