Phone No.: 30767700

## THE SWASTIK SAFE DEPOSIT AND INVESTMENTS LIMITED

CIN: L65190MH1940PLC003151

Regd. Office: 4<sup>th</sup> Floor, Piramal Tower Annexe, Ganpatrao Kadam Marg, Lower Parel, Mumbai - 400 013

E: complianceofficer.swastik@piramal.com W: www.theswastiksafedeposit.in

October 15, 2024

The Market Operations Dept., BSE Limited Dalal Street, Fort Mumbai - 400 023

Dear Sirs,

Sub: Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Further to our intimation dated May 03,2024 and pursuant to Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR Regulations'), We write to inform that the Company has, today i.e. on October 15, 2024 received an email from BSE Limited ('Stock Exchange') waiving penalty imposed by Stock Exchange w.r.t. non-compliance of Regulation 23(9) of the SEBI LODR Regulations for the Half year ended on March 31, 2021 as detailed below:

| Regulation | Quarter  | Due Date   | Submission<br>Date | Basic Fine Levied<br>(Prior to waiver<br>application) | Fine<br>waived | Fine<br>Rejected | GST    | Total<br>Outstanding<br>fine |
|------------|----------|------------|--------------------|---|----------------|------------------|--------|------------------------------|
|            |          |            |                    | (Amount in Rs.)                                       |                |                  |        |                              |
| 23(9)      | Mar-2021 | 15-04-2021 | 14-04-2021         | 50,20,000   | 48,20,000      | 2,00,000         | 36,000 | 2,36,000                     |
| Total      |          |            |                    |   |                |                  |        | 2,36,000                     |

There is no material impact of such fines on the financial, operation or other activities of the Company.

Request you to please take the above on record and oblige.

Thanking you,
For The Swastik Safe Deposit and Investments Limited