

THE FIRST CUSTODIAN FUND (INDIA) LTD.



Date: 09th October, 2020

CORPORATE OFFICE : SURYA MAHAL 3RD FLOOR, NAGINDAS MASTER ROAD, FORT, MUMBAI-400 023. INDIA

PHONE : 6635 9001 • 6635 9002 • FAX: +9122-2270 3539 • CIN : L67120WB1985PLC038900

To,

The Department of Corporate Services,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001

Scrip Code: 511122

Dear Sir,

Sub: Regulation 40 (9) of the SEBI (LODR) Regulations, 2015

Ref: Certificate from Practicing Company Secretary

With reference to above and pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, 2015, please find enclosed herewith the copy of the Certificate received from M/s. P. P. Shah & Co., Practicing Company Secretaries in respect of transfer or transmission or transposition of securities for the half year ended 30th September, 2020.

You are requested to take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For **THE FIRST CUSTODIAN FUND (INDIA) LTD**

**GIRIRAJ DAMMANI
MANAGING DIRECTOR**

Encl: As Above

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. The First Custodian Fund (India) Limited* having its Registered Office situated at 11, Camac Street, Kolkata – 700 017 maintained by *M/s. Sharex Dynamic (India) Private Limited*, a Registrar & Share Transfer Agent (RTA) having its office at C 101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai – 400 083 pertaining to transfer of equity shares (share transfer deeds of which were lodged prior to April 1, 2019 and returned due to deficiency and re-lodged during the half year ended September 30, 2020) of the company for the period from *01st April, 2020 to 30th September, 2020* for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (LODR) Regulations, 2015 entered into by, *M/s. The First Custodian Fund (India) Limited* with BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during *half year ended on 30th September, 2020*:

A) ~~Share/Debtenture~~–Certificate(s) relating to the transfer of Shares/~~Debtentures~~ (share transfer deeds of which were lodged prior to April 1, 2019 and returned due to deficiency and re-lodged during the half year ended March 31, 2020) received during the period from *01st April, 2020 to 30th September, 2020* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable:*

Note: As per The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018, (hereinafter SEBI (LODR)) read with SEBI Notification No. SEBI/LAD-NRO /GN/ 2018/49 dated 30th November, 2018, first proviso has been inserted in Regulation 40 (1) of SEBI (LODR) as per which requests for effecting transfer of securities w.e.f. 1st April, 2019 shall not be processed unless the securities are held in

the dematerialized form with a depository. Accordingly, during the period from *01st April, 2020* to *30th September, 2020* no requests for share transfers were processed in physical form.



Date: 8th October, 2020
Place: Mumbai

For P. P. SHAH & CO.

Pradip C-Shah

(PRADIP C. SHAH)
Practising Company Secretary
Partner

Membership No: 1483
Certificate of Practice: 436
UDIN: F001483B000886510

Please note that:

- 1) This Certificate should be given by a *Practising Company Secretary*.
- 2) The Certificates should be given for *Half Year ended 30th September, 2020*.