

May 27, 2022

The Department of Corporate Services - CRD

BSE Limited

Pheeroze Jeejeebhoy Towers

Dalal Street, Fort

Mumbai - 400 001

The Secretary

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor Plot No- 'C Block, G Block

Bandra-Kurla Complex, Bandra (East)

Mumbai 400 051

Scrip Code: 531595 Scrip Code: CGCL

Sub: <u>Capri Global Capital Limited - Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015</u>

Dear Sir / Madam,

The details of violation of the Company's Code of internal procedures and conduct for Regulating, Monitoring and Reporting of Trading by Insiders (The Code) formulated under the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI PIT Regulations) by Designated Person ("DP") along with the action taken by the Company thereon is enclosed as "Annexure A".

This disclosure is being made in terms of the SEBI PIT Regulations, applicable SEBI and Stock Exchange Circular(s).

Please take the above disclosure on record.

Thanking you,

Yours faithfully,

for Capri Global Capital Limited

Yashesh Bhatt Company Secretary Membership No. - ACS 20491

Capri Global Capital Limited

Report by Capri Global Capital Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.	Particulars	Details									
No.	T di biodiui b										
1	Name of the listed company / Intermediary/Fiduciary	Capri Global Capital Limited									
2	Please tick appropriate checkbox										
	Reporting in capacity of :										
	✓ Listed Company										
	☐ Intermediary										
	☐ Fiduciary										
3	A. Details of Designated Person (DP)										
	i. Name of the DP	Mr. Raviraj Jain									
	ii. PAN of the DP	AQGPJ0785M									
	iii. Designation of DP	Product Manager									
	iv. Functional Role of DP	Technology									
	v. Whether DP is Promoter or belongs to Promoter	No									
	Group										
	B. If Reporting is for immediate relative of DP										
	i. Name of the immediate relative of DP	N.A.									
	ii. PAN of the immediate relative of DP	N.A.									
	C. Details of transaction(s)										
	i. Name of the scrip	Capri Global Capital Limited									
	ii. No of shares traded and value (Rs.) (Date- wise)	30 Equity Shares purchased on April 1, 2022,									
		for total value of Rs. 18,705.90/-									
	D. In case value of trade(s) is more than Rs.10 lacs in										
	 i. Date of intimation of trade(s) by concerned DP / director/ promoter/promoter group to Company 	N.A.									
	under regulation 7 of SEBI (PIT) Regulations, 2015										
	ii. Date of intimation of trade(s) by Company to Stock										
	Exchanges under regulation 7 of SEBI (PIT)										
	Regulations, 2015										
4	Details of violations observed under Code of Conduct	Trading during the window closure period									
5	Action taken by Listed company / Intermediary/	Penalty of Rs. 5000/- imposed on the DP									
	Fiduciary										

Sr. No.	Particulars	Details										
6	Reasons recorded in writing for taking action stated above	The aforesaid action was taken after taking into account the following factors: 1. The quantum of shares involved; 2. Purchase of shares during window closure period.										
7	Details of the previous instances of violations, if any, since last financial year	N.A. (first time offence)										
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online										
	ii. Details of transfer/payment In case of Online:	Particulars Name of the transferor Bank Name, branch and Account number UTR/Transaction reference Number Transaction date Name of the Raviraj Jain Raviraj Jain Bank: Ujjivan Small Finance Bank Branch: Koramangala Account Number: 110211050051540 213666074949										
		Transaction Rs. 5000/- Amount (in Rs.)										
9	Any other relevant information	None										

Yours faithfully, for Capri Global Capital Limited

(Yashesh Bhatt) **Company Secretary**

PAN: AFLPB5264R

E-mail ID: Yashesh.Bhatt@capriglobal.in, secretarial@capriglobal.in

Place: Mumbai Date: May 27, 2022

Encl.: As above

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Report by (Name of the listed)	ompany/ intermediary/Fiduciary) f	for violations related to Cod	le of Conduct unds	er SEBI (Prohibition	of Insider Trading! Regu	dations, 2015 : Capri Globa	of Capital Limited						<u> </u>																
(for latest companies: Schedule it and with Regulation (1) of SERI Prohibition of Insider Tradegy Regulations, 2055																													
	In the state of th																												
1. Name of the listed	Name of the listed 2 . Please fill appropriate 3A. Details of Designated Person (DP) 3B. If Reporting is for immediate relative of				r immediate relative of DP	,	3C. Details of transaction(s)	3D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter		4. Details of violations observed under	5. Action taken by Listed company/	6. Reasons recorded in writing for taking action stated above	7. Details of the previous instances of	8. If any amount collected for Code		B. in case of colline:					of Demand Draft (D	d 9. Any	iny other Date	Place Name of Con	pliance Officer PAN	Email ID			
company/	Reporting in capacity of : Listed	Clated									Code of Conduct	Intermediary/ Fiduciary		violations, if any, since	of Conduct violation(s)									elevant					
Intermediary/Fiduciary		Name of the DP	the DP PAN of the DP Designation of DP Functional Role of DP Whether DP is Name of the immediate PAN of the immediate			DAN of the Immediate	Name of the code	No of shares traded and value (Rs.) (Date-wise)	Date of Intimation of trade(s) by concerned. Date of intimation of trade(s) by					last financial year	Please fill appropriate.	Name of the transferor	Bank Name, branch and Account number	LITE/Transaction reference Number	mber Transaction date Transaction Amount (in		Seek Di	DO Number DO date		remation			I		
and the case of the case of		Against Ct City Co	PARK DE SIN DO	Designation of De	PERCENTIAL PROPERTY OF			relative of DP	Marine Ci Une IDIG	water maner maner and earth (ver) frame, word	DP / Monetor / non-moter / non-moter aroun to	Company to stock early pages under				tast illiancial year	Mode of transfer to SEBI - IPEF.	Again or the Danberts	sunk name, statici and account number	OTA, ITALICA METERS NATION	1131131.0011.0330	manuación semana (m sec)	Manager and	Actives DO 0318		A HOUSE			
	Fiduciary					to Promoter Group	ecove or pe	readule of Dr			Company of the manifestory T of CCD (DCD)	mendation 7 of SEBI (DIT) Bendations					(Online/Demand Draft)						hanne de		Gn Pv 1				
						to Floridan Group					company under regulation 7 or attain (P11)	regulation 7 or again (P11) Regulations,					(Crime) Centana Cran)						uranor		(m ker)				
		Mr. Amer Baloumbit	MIIDOZGICE	Rusiness Read -						2000 Koulty Shares bought on March 23, 2022, for total	MATERIODNI, 2015	2015		Penalty of Rs. SS.000 /- issued to DP		Sale of 835 Equity Shares on May 14, 2021.		Amer Reinwood?								N A 27.05.3032			
Capri Global Capital Limited	Listed Company	Mr. Amar Rajpurohit	AUDICHIEF	Husbaness Read -	Business	No	N.A.	N.A.	Capri Global	2000 squiry shares bought on March 23, 2022, for folio value of Rr. 12.33.652.10	N.A.	N.A.	Contra Transaction	Penalty of Rr. So,000y- issued to DP	The aforesaid action was taken after taking into account the following	for total value of Rs. 283 265/- during the	Online	Amar Rajpurshit	1. Bank: HDFC Bank	1. N138221964137143	1. 18.05.2022	1. Rs. 50,000/-	N.A.	N.A. N.A.	N.A.	N.A. 27.05.2022	Mumbai Yashi	sh Bhatt AFLPSS264	
				CETURE PORTE					CapitalLimited	Value of Rt. 12,63,662.10					factors:	tor total value of KC 380,240.7- during the window chouse seried			Branch: Ahmedabad				1 1						secretarial@capriglobal.in
															1.The quantum of shares involved;	WHEN THE SAME PERSON			Account Number: 0645:2050000602				1 1						
															2.6ravity of a situation;								1 1						
															3.8 being second instance of non-compliance on the part of the DP.				2. Bank: Yes Bank	2. N139221218266402	2. 19.05.2022	2. Rs. 5,000/-	1 1						
																			Branch: Worli				1 1						
																			Account Number: 000185700004114				1 1						
Cand Global Canital Limited	Listed Company	Mr. Ravirai Jain	AOCESS785M	Preduct Manager	Technology	No.			Capri Global	30 Equity Shares purchased on April 1, 2022, for total	N.A.	N.A.	Trading during the window closure period	Penalty of Rs. 5.000/- imposed on the DP	The aforesaid action was taken after taking into account the following	N.A. (First time offence)	Online	Sector Inte	Rank : Urinon Small Finance Rank	213666274949	16.05.2022	5000	W 0	N. N	N.A	N.A. 27.05.3022	Mumbai Yashi	sh Bhatt AFLP95264	Verbert State Stat
Capit Global Capital Cililara	Listed Company	No. Named 2201			reconnegy		N.A.	n.c.		value of Rs. 18.705.90	***	n.A.	mading coming one without contine period		forters	nex procure unexer	Change	Autorial carri	Branch : Koramangala		10.04.2002	2000	n.a.	**	**	17.09.2022	1201	OTHERS APPRICATE	and the second second second
									CapitalCritted	Value of No. 28,763.90					1.The quantum of shares involved:				Account Number: 110211050051540				1 1						The state of the s
				Control Constitution						1 Equity Shares cold on April 71 7877 for sorelunks		+		Letter to reprinted the DP issued	2 Burchase of shares during window closure period			t						NA NA		N A 27.05.3032			
Capri Global Capital Limited	Listed Company	Mr. Sujit Ramswarup Gupt	IS MANYG2SHIA	STREET BARCETTE	Finance and Accounts	NO.	N.A.	N.A.	Capri Global	a regionsy massers was one equil 21, 2022, for folial table	N.A.	N.A.	Trading during the window closure period	Later in representation for DF 100340	The aforesaid action was taken after taking into account the following	N.A. (First time affence)	NA.	N.A.	N.A.	NA.	N.A.	N.A.	N.A.	NA NA	N.A.	N.A. 27.05.2022	Mumbei Yashi	sh Bhatt AFLPSS264	
1		1	1	I		1		1	CapitalLimited	M Mt. 778	l .		1	1	ractors:	1	1	1	1	1			1 1	1		1 1			secretarial@capriglobal.is
															1.The quantum of shares involved;								1 1						
															2.Sale of shares during window closure period.								1 1						