

SE/15/2022-23

Date: 28.04.2022

The Manager The National Stock Exchange of India Limited Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051	The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Floor 25, Dalal Street, Mumbai- 400 001
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Ref.: Scrip Symbol: FEDERALBNK/Scrip Code: 500469

Dear Madam/ Sir,

Sub: - Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith a certificate issued by CS Sivakumar P, Managing Partner, M/s. SEP & Associates, Kochi, for the period from April 01, 2021 to March 31, 2022 under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your kind information and records.

Thanking you,

For The Federal Bank Limited

Samir P Rajdev
Company Secretary

Partners:

CS Puzhankara Sivakumar. M.Com, FCMA, FCS

CS Madhusudhanan E.P. M.com, FCMA, FCS, IP

CS Anju Panicker. BA, LLB (Hons.), ACS

Reg.Office: Building No. C.C 56/172

K.C. Abraham Master Road,

Panampilly Nagar, Kochi-682036

0484 4873636/ 4874242

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2022**

We have examined the relevant records, registers, files and all other documents of **M/s. The Federal Bank Ltd. ("the Bank")**, having its Registered office at **Federal Towers, P B No. 103, Always, Ernakulam, Kerala- 683101**, maintained by **Integrated Registry Management Services Private Limited**, 2nd Floor, Kences Towers, No, 1, Ramakrishna Street, North Usman Road, T Nagar Chennai - 600017, the Registrar and Transfer Agent (RTA) of the Bank for the period from **1st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Bank, hereby certify that:

- A) As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Stakeholders Relationship Committee of the Bank have not processed any Share Transfer requests and consequently there arose no necessity for entry in the Memorandum of Transfers and issue of Share Certificate(s) thereof during the said period.
- B) The Company has delivered/sent share certificates/ letters of confirmation as per SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January 2022, for execution of transmission of securities and for the issue of duplicate certificates received during the financial year ended 31st March 2022, within the prescribed time from the respective date of lodgment.
- C) As informed to us, there were no request for sub-division, consolidation, renewal, exchange and endorsement of calls / allotment monies or issue of split share certificates have been received by the Bank during the said period.
- D) Any other information, if applicable: **NIL**

For SEP & Associates

Company Secretaries

(ICSI Unique Code: P2019KE075600)

UDIN: F003050D000205901



CS Puzhankara Sivakumar

Managing Partner

M No.: F3050 COP: 2210



Date: 26.04.2022

Place: Kochi