

Ref. No.: RMGL/SEC/BSE

April 30, 2019

To,  
**BSE Limited**  
Department of Corporate Services,  
P. J. Towers, Dalal Street,  
Mumbai - 01

**Sub: Certificate pursuant to Regulation 40(9) for the half year ended 31.03.2019**

**Scrip Code: 500365**

Dear Sir/ Madam,

The reference to above subject, please find enclosed certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended 31.03.2019.

Kindly acknowledge the receipt of the same.

Thanking you,

Yours faithfully,  
For **RMG ALLOY STEEL LIMITED**



**RASHMI MAMTURA**  
**COMPANY SECRETARY**  
F: 8658



Encl: a/a



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## MIHEN HALANI & ASSOCIATES

*Practicing Company Secretaries*

32, 1<sup>st</sup> Floor, Shantinath Shopping Center, Near Aditi Hotel, S.V. Road, Malad (West),

Mumbai – 400 064 ☎: +91 9769 327632 ✉: [mihenhalani@gmail.com](mailto:mihenhalani@gmail.com)

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### CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

#### FOR THE HALF YEAR ENDED MARCH 31, 2019


We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **RMG Alloy Steel Limited** maintained by **M/s Bigshare Services Private Limited** pertaining to transfer of equity shares of the company for the period from **October 1, 2018 to March 31, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and Listing Agreement entered into by M/s RMG Alloy Steel Limited with BSE and based on the information provided by M/s. Bigshare Services Private Limited, we hereby certify that:

- A) i) Due to spurt in the volumes of Transfers and Demat requests and due to change in the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 (i.e. banning of physical transfer of shares w.e.f December, 5 2018 which was subsequently extended till March 31, 2019) there was delay in issue of share certificates for transfer, split, transmission, and transposition of shares during the period from October 1, 2018 to March 31, 2019; and
- ii) Share Certificate(s) have been issued, pursuant to the Regulation(s), within thirty days of the date of lodgment for consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment during the period from October 1, 2018 to March 31, 2019 excepting those rejected on technical grounds.
- B) Any other information, if applicable - None

Date: 30/04/2019

Place: Mumbai

For Mihen Halani & Associates,  
*Practicing Company Secretaries*

  
Mihen Halani  
Proprietor  
FCS No. 9926  
CP No. 12015