



# SMIFS CAPITAL MARKETS LIMITED

May 15, 2024

**The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001**

**Dear Sir,**

**Sub: Newspaper Advertisement – Notice of Postal Ballot and E-voting (Annexure – I)**

We are forwarding a copy of newspaper advertisement in respect of the notice of Postal Ballot and e-voting published in English Daily (Business Standard) on April 26, 2024 (Annexure-I)

Please Acknowledge.

Yours faithfully,  
For **SMIFS CAPITAL MARKETS LIMITED**

**(Poonam Bhatia)**  
**Company Secretary -cum - Compliance Officer**



**Encl: As stated**

**SMIFS CAPITAL MARKETS LIMITED**

Regd Office: Vaibhav 4F, 4, Lax Road, Kolkata - 700 020

CIN NO: L74300WB1983PLC036342

Tel No: 033-2290-7400/7401/7402/0544

E-mail: smifscap@gmail.com, cs.smifs@gmail.com Website: www.smifscap.com

**NOTICE OF POSTAL BALLOT AND E-VOTING**

Members are hereby informed that pursuant to the provisions of section 108 and 110 and other applicable provisions of the Companies Act, 2013 as amended (the "Act"), read together with the Companies (Management and Administration) Rules, 2014, as amended (the "Management Rules"), General Circular Nos. 14/2020 dated April 8, 2020, 17/2020 dated April 13, 2020, 20/2020 dated May 5, 2020, 22/2020 dated June 15, 2020, 33/2020 dated September 28, 2020, 39/2020 dated December 31, 2020, 10/2021 dated June 23, 2021, 20/2021 dated December 8, 2021, 3/2022 dated May 5, 2022, 11/2022 dated December 28, 2022 and 9/2023 dated September 25, 2023 and other relevant circulars issued by the Ministry of Corporate Affairs, Government of India (the "MCA Circulars"), Secretarial Standard on General Meetings issued by the Institute of Company Secretaries of India, SEBI Circular No. SEBI/HO/CFD/CFD2/CIR/P/2022/62 dated May 13, 2022, SEBI Circular No. SEBI/HO/CFD/PoD-2/P/CIR/2023/4 dated January 05, 2023 and SEBI Circular No. SEBI/HO/CFD/CFD-PoD-2/P/CIR/2023/167 dated October 7, 2023 (together "SEBI Circulars") and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 as amended (the "LODR Regulations") and any other applicable law, rules and regulations (including any statutory modification(s) or re-enactment(s) thereof, for the time being in force), the approval of members of SMIFS Capital Markets Limited (the "Company") is sought for the following Special Resolution by way of remote e-voting ("e-voting") process.

**Description of Special Resolution**

1. Appointment of Mr. Nitin Daga (DIN:08608910) as a Non-Executive, Independent Director of the Company.
2. Appointment of Mr. Pratik Ghose (DIN: 10545249), as a Non-Executive, Independent Director of the Company.
3. To take approval for making investments/extending Loans & Advances and giving Guarantees or providing securities, under section 186 of the Companies Act, 2013

Pursuant to the MCA circulars, the Company has completed the dispatch of electronic copies of the Postal Ballot Notice along with the explanatory statement by April 25, 2024 through electronic mode to those Members whose email addresses are registered with the Company/ Depository Participant(s) as on March 29, 2024 ("Cut-off Date").

The said Notice is also available on the website of the Company: [www.smifscap.com](http://www.smifscap.com), the relevant section of the website of BSE Limited ("BSE"): [www.bseindia.com](http://www.bseindia.com) and Calcutta Stock Exchange Limited ("CSE"): [www.cse-india.com](http://www.cse-india.com) on which the Equity Shares of the Company are listed and on the website of Central Depository Services Limited ("CDSL"): [www.cdslindia.com](http://www.cdslindia.com)

In accordance with the provisions of the MCA circulars, Members can vote only through e-voting process. The voting rights of the Members shall be reckoned on the basis of the e-voting process. The voting rights of the Members shall be reckoned on the basis of the equity shares of the Company held by them as on the Cut-off Date. Any person who is not a shareholder of the Company as on the Cut-off Date shall treat the Postal Ballot Notice for information purpose only.

The Company has engaged the services of Central Depositories Services Limited ("CDSL") for the purpose of providing e-voting facility to all its Members. The e-voting shall commence from May 02, 2024 at 10:00 A.M. and shall end on May 31, 2024 at 05:00 P.M. The e-voting facility will be disabled by CDSL thereafter.

Members who have not registered their email addresses are requested to register their email addresses with respective depository participant(s) and members holding shares in physical mode are requested to update their email addresses with Company's Registrar and Share Transfer Agent, Maheshwari Datamatics Private Limited, 23, R.N. Mukherjee Road, 5th floor, Kolkata- 700001, Telephone: 033-22482248, Fax: 033-22484787, mdpldc@yahoo.com to receive copies of the Notice of the Meeting, instructions for remote e-voting and instructions for participation in the Meeting through VC.

The Board has appointed Mr. Sudhanu Sekhar Panigrahi, (Membership No. 23187) (CP No. 19649) Practicing Company Secretary as the scrutinizor ("Scrutinizer") for conducting the e-voting process in a fair and transparent manner.

In case of any queries or issues regarding e-Voting from the CDSL e-Voting System, you can write an email to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com) or contact at toll free no. 1800 22 55 33.

The Scrutinizer will submit his report to the Chairman or any other person authorized by the Chairman after the completion of scrutiny of the e-voting and the result will be announced on or before June 02, 2024 and will also be displayed on the Company website ([www.smifscap.com](http://www.smifscap.com)) and on the website of CDSL ([www.cdslindia.com](http://www.cdslindia.com)) and communicate to the Stock Exchanges, Depository, Registrar and Share Transfer Agent.

In case of any grievances regarding e-voting, the members may write to Mr. Rakesh Dahi, Sr. Manager, (CDSL) Central Depository Services (India) Limited, A Wing, 25th Floor, Marathon Futurex, Mafatal Mill Compounds, N M Joshi Marg, Lower Panel (East), Mumbai - 400013 or send an email to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com) or call at toll free no. 1800 22 55 33

By order of the Board  
For SMIFS Capital Markets Limited  
Sd/-

Poonam Bhatia

Place : Kolkata  
Date: April 26, 2024

Company Secretary-cum-Compliance Officer

*Certified True Copy*

For SMIFS CAPITAL MARKETS LIMITED

*P. Bhatia*

(POONAM BHATIA)

Company Secretary-cum-Compliance Officer

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By order of the Board  
For SMIFS Capital Markets Limited  
Sd/-

Place : Kolkata  
Date: April 26, 2024

Poonam Bhatia  
Company Secretary-cum-Compliance Officer

*Certified True Copy*

For SMIFS CAPITAL MARKETS LIMITED

*P Bhatia*

(POONAM BHATIA)

Company Secretary-cum-Compliance Officer