



SUNDARAM FINANCE
Enduring values. New age thinking.

SEC:22:19-20/SK
April 15, 2019

The Manager - Listing
National Stock Exchange of India Limited
Capital Market – Listing
Exchange Plaza, 5th Floor
Plot No.C/1, G Block
Bandra-Kurla Complex
Bandra (E), Mumbai 400 051

Dear Sir,

Re: Compliance with Regulations 40(9) & (10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 (Listing Regulations)

We enclose a certificate issued by M/s. R. Sridharan & Associates, Company Secretaries under Regulation 40(10) of the Listing Regulations, to the effect that despatch of all the share certificates has been completed on time in accordance with the provisions of Regulation 40(9) of the Listing Regulations by our Registrar & Share Transfer Agents viz. M/s. Cameo Corporate Services Limited during the half year ended 31st March 2019.

Thanking you,

Yours truly,

for Sundaram Finance Ltd


P Viswanathan
Secretary & Compliance Officer

Sundaram Finance Limited

Encl:

Regd. Office: 21, Patullos Road, Chennai – 600 002, India PAN: AAACS4944A CIN: L65191TN1954PLC002429
Ph: +91 44 2852 1181 www.sundaramfinance.in E-mail: wecare@sundaramfinance.in



R.Sridharan & Associates
Company Secretaries

New No. 5, (Old No.12), Sivasailam Street
T.Nagar, Chennai - 600 017.
Phone : 42166988, 42555587
email : sridharan.r@aryes.in
web : www.aryes.in

15th April, 2019

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 01st October, 2018 to 31st March, 2019

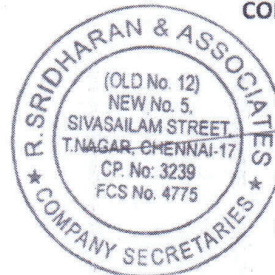
ISIN: INE660A01013

SYMBOL: SUNDARMFN

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to Messrs. **Sundaram Finance Limited** having its Registered Office at No.21, Patullus Road, Chennai – 600 002, maintained by its Registrar and Share Transfer Agent viz., **Cameo Corporate Services Limited**, having its office at, "Subramanian Building", No.1, Club House Road, Chennai – 600 002, pertaining to transfer of equity shares of the company for the period from **01st October, 2018 to 31st March, 2019** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR) and Listing Agreement entered into by, **Sundaram Finance Limited with National Stock Exchange of India Limited** pursuant Regulation 109 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief the Company has, in relation to the half year ended **31st March, 2019** delivered all the share certificates relating to the share transfer deeds received during the period from **01st October, 2018 to 31st March, 2019** as entered in the Memorandum of Transfers duly endorsed within Thirty days of the date of lodgment for transfer as stipulated in the LODR Regulations, 2015 excepting those rejected on technical grounds.

During the aforementioned period **Sundaram Finance Limited** has received request for transmission, transposition of shares, split, consolidation of shares and also issue of new certificates in respect of cases lodged for duplicate share certificates and the same has been issued within stipulated time period.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R.SRIDHARAN
C.P.No.3239
FCS No.4775
UIN: S2003TN063400

SUNDARAM FINANCE LIMITED