

## SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060

Tel : 011-42475489 E-mail : [swagtam1984@gmail.com](mailto:swagtam1984@gmail.com) Website : [www.swagtam.com](http://www.swagtam.com)

CIN: L51909DL1984PLC289131

1) BSE Ltd.  
Deputy Manager, Listing Compliance  
25<sup>th</sup> Floor, P. J. Tower, Dalal Street  
Fort, Mumbai – 400 001

10.04.2021

2) Calcutta Stock Exchange Ltd.  
Manager, Listing Dept.  
7, Lyons Range, Kolkata – 700 001

3) Alankit Assignments Limited, RTA

Sir/Madam,

We received Sale Confirmation Letters alongwith Disclosures u/r 29 of SEBI(SAST) {copies enclosed} from the following shareholders :-

1) RAJESH GUPTA	40,000 (Forty Thousand) Equity Shares
2) PRINCEP TRADE & FINANCE PVT. LTD	25,000 (Twenty Five Thousand) Equity Shares

We have No Objection in the said sale of shares and  
we request our RTA – Alankit Assignments to please transfer the said shares  
and Update the List of Shareholders and to do the needful.

Kindly Advise if we have to submit any other information, papers, documents, etc

Kindly take it on record, do the needful & oblige.

Thanking You,

For SWAGTAM TRADING AND SERVICES LIMITED

For Swagtam Trading & Services Ltd.

SUMIT GUPTA Director/Authorized Signatory  
Director - DIN: 06911742

Encl: As Above

**PRINCEP TRADE AND FINANCE PVT LTD**

CIN: U67120WB1989PTC046735

PAN ; AABCP5338C

Regd Office : R. NO. 110, FF, P-2, NEW C. I. T. ROAD, KOLKATA, WB - 700073

Corp Office : 1403, 14<sup>TH</sup> Floor, Vikram Tower, Rajendra Place, New Delhi-110008

- 1) BSE Ltd. 09.04.2021  
Deputy Manager, Listing Compliance  
25th Floor, P. J. Tower, Dalal Street  
Fort, Mumbai – 400 001 [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com) [rakesh.parekh@bseindia.com](mailto:rakesh.parekh@bseindia.com)
- 2) Calcutta Stock Exchange Ltd.  
Manager, Listing Dept. [chandranidatta@cse-india.com](mailto:chandranidatta@cse-india.com) [listing@cse-india.com](mailto:listing@cse-india.com)  
7, Lyons Range, Kolkata – 700 001
- 3) Board of Directors and Company Secretary cum Compliance Officer  
SWAGTAM TRADING AND SERVICES LIMITED [CIN: L51909DL1984PLC289131]  
Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060  
[swagtam1984@gmail.com](mailto:swagtam1984@gmail.com)
- 4) Alankit Assignments Limited,  
Shares Registrar & Transfer Agents [b.swain@alankit.com](mailto:b.swain@alankit.com) [rta@alankit.com](mailto:rta@alankit.com)
- Sub: Disclosures, including under Regulation 29 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Sir/Madam,

We bring to your Notice that we have sold **25,000** (Twenty Five Thousand) Equity Shares of “SWAGTAM TRADING AND SERVICES LIMITED” (ISIN: INE150R01019) to Mr. RAMESH BHAI GHELABHAI PATEL HUF (PAN: AASHR8199D) KARTA – MR. RAMESH BHAI {9879609510, [ANILPATEL.ANIL@YAHOO.CO.IN](mailto:ANILPATEL.ANIL@YAHOO.CO.IN) } R/o D-204, PRIDE ICON, near Amaranthus Villas, near Science City Ring Road Circle, opposite Wind Chimes, Bhadaj, Tal Daskroi, Ahmedabad - 380060, Gujarat in Public category.

Please find enclosed herewith Disclosures under Regulation 29 of SEBI (SAST) Reg, 2011

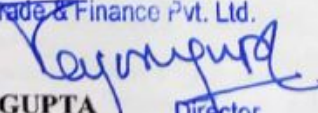
Please consider as due & proper compliances and  
Kindly do Advise us if I/we have to make any other compliances.

We will be glad to submit any other information, papers, etc., if still required.

Thanking You, Sir

For **PRINCEP TRADE AND FINANCE PVT LTD**

For Princep Trade & Finance Pvt. Ltd.

  
**RAJESH GUPTA** Director  
Director, DIN: 00025324  
[accounts@rsndgroup.com](mailto:accounts@rsndgroup.com)

ENCL: AS ABOVE

**Format for Disclosures under Regulation 29 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part -A- Details of the Acquisition / == SALE ==**

Name of the Target Company (TC)	SWAGTAM TRADING & SERVICES LTD. R-489, GF-A, New Rajinder Nagar, New Delhi – 110060 CIN:- L51909DL1984PLC289131		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Princep Trade & Finance Pvt. Ltd. Seller alongwith PAC namely, Weldon Fincap Pvt. Ltd. and Mr. Rajesh Gupta and Mrs. Seema Gupta		
Whether the Seller belongs to Promoter/Promoter group	NO		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Ltd., Calcutta Stock Exchange		
Details of the acquisition as follows	Number	% w.r.t. total share/voting capital wherever applicable(*)	% w.r.t. total diluted share/voting capital of the TC (**)
Before the acquisition / SALE under consideration, holding of SELLER along with PACs of:			
a) Shares carrying voting rights	25,000	2.10	2.10
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)	0		
c) Voting rights (VR) otherwise than by equity shares	0		
d) Warrants/ convertible securities/ any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	0		
e) Total (a+b+c)	25,000	2.10	2.10
Details of acquisition/ SALE			
a) Shares carrying voting rights sold	25,000	2.10	2.10
b) VRs acquired otherwise than by equity shares			
c) Warrants/ convertible securities/ any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired.	OFF MARKET SALE		
d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)			
e) Total (a+b+c+/-d)	25,000	2.10	2.10
After the acquisition / SALE, holding of acquirer / seller along with PACs of:			
a) Shares carrying voting rights	0	0	0
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others) Shares pledged with the acquirer.	0		
c) VRs otherwise than by equity shares	0		
d) Warrants/ convertible securities/ any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after SALE	0		
e) Total (a+b+c)	0	0	0
Mode of acquisition / SALE (e.g. open market / public issue / rights issue /preferential allotment / inter-se transfer, etc.)	OFF MARKET SALE (IN DEMAT)		

For Princep Trade & Finance Pvt. Ltd.

*Rajesh Gupta*  
Director

Salient features of the securities acquired including time till redemption, ratio at which it can be converted into equity shares etc.	<b>Not Applicable</b>
Date of sale of equity shares /any other instrument that entitles the acquirer to receive shares in the TC.	<b>09.04.2021</b>
Equity share capital / total voting capital of the TC before the said sale	<b>Rs. 1,19,05,000/-</b>
Equity share capital/ total voting capital of the TC after the said sale	<b>Rs. 1,19,05,000 [ 11,90,500 equity shares]</b>
Total diluted share/voting capital of the TC after the said sale	<b>Rs. 1,19,05,000 [ 11,90,500 equity shares]</b>

**Part-B\*\*\***

**== SALE ==**

**Name of the Target Company:** **SWAGTAM TRADING & SERVICES LTD.**  
**R-489, GF-A, New Rajinder Nagar, New Delhi – 110060**  
**CIN:- L51909DL1984PLC289131**

Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Whether the acquirer belongs to Promoter/ Promoter group	PAN of the acquirer and/ or PACs	Shareholding prior to acquisition / Sale	No. of Shares acquisition / sold	Total Shareholding subsequent to acquisition / Sale
SEEMA GUPTA	Non-Promoter	ADZPG7748M	40000	40000	-----
RAJESH GUPTA	Non-Promoter	AALPG4303M	40000	40000	-----
WELDON FINCAP PRIVATE LIMITED	Non-Promoter	AABCS5281M	25000	25000	-----
PRINCEP TRADE & FINANCE (PVT) LTD	Non-Promoter	AABCP5338C	25000	<b>25000</b>	-----

For **PRINCEP TRADE AND FINANCE PVT LTD**  
**CIN: U67120WB1989PTC046735**  
**PAN : AABCP5338C**

For Princep Trade & Finance Pvt. Ltd.

**RAJESH GUPTA**  
**Director, DIN: 00025324**  
[accounts@rsndgroup.com](mailto:accounts@rsndgroup.com)

**Date : 09.04.2021**

**Note:**

- (\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.
- (\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.
- (\*\*\*) Part-B shall be disclosed to the Stock Exchanges but shall not be disseminated.

**RAJESH GUPTA**

PAN: AALPG4303M

S/o Shri S. N. Gupta

31/77, Jeevan Basera Apts, Road # 77,  
West Punjabi Bagh, New Delhi - 110026

- 1) BSE Ltd. 09.04.2021  
Deputy Manager, Listing Compliance  
25th Floor, P. J. Tower, Dalal Street  
Fort, Mumbai – 400 001 [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com) [rakesh.parekh@bseindia.com](mailto:rakesh.parekh@bseindia.com)
- 2) Calcutta Stock Exchange Ltd.  
Manager, Listing Dept. [chandranidatta@cse-india.com](mailto:chandranidatta@cse-india.com) [listing@cse-india.com](mailto:listing@cse-india.com)  
7, Lyons Range, Kolkata – 700 001
- 3) Board of Directors and Company Secretary cum Compliance Officer  
SWAGTAM TRADING AND SERVICES LIMITED [CIN: L51909DL1984PLC289131]  
Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060  
[swagtam1984@gmail.com](mailto:swagtam1984@gmail.com)
- 4) Alankit Assignments Limited,  
Shares Registrar & Transfer Agents [b.swain@alankit.com](mailto:b.swain@alankit.com) [rta@alankit.com](mailto:rta@alankit.com)

Sub: Disclosures, including under Regulation 29 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

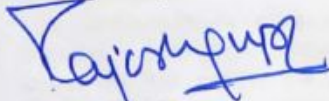
Sir/Madam,

We bring to your Notice that I/we have sold 40,000(FORTY Thousand) Equity Shares of "SWAGTAM TRADING AND SERVICES LIMITED" (ISIN: INE150R01019) to Mr. ANIL KUMAR RAMESH BHAI PATEL HUF (PAN: AAQHA1027F) KARTA – Mr. ANIL KUMAR { 9824693968 , [ANILPATELANIL@GMAIL.COM](mailto:ANILPATELANIL@GMAIL.COM) } R/o D-204, PRIDE ICON, near Amaranthus Villas, near Science City Ring Road Circle, opposite Wind Chimes, Bhadaj, Tal Daskroi, Ahmedabad - 380060, Gujarat in Public category.

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Please consider as due & proper compliances and  
Kindly do Advise us if I/we have to make any other compliances.

We will be glad to submit any other information, papers, etc., if still required.  
Thanking You, Sir



**RAJESH GUPTA**  
PAN: AALPG4303M

ENCL: AS ABOVE

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Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Mr. Rajesh Gupta Seller alongwith PAC namely, weldon fincap pvt. Ltd. and Princep Trade & Finance Pvt. Ltd. and Mrs. Seema Gupta		
Whether the Seller belongs to Promoter/Promoter group	NO		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Ltd., Calcutta Stock Exchange		
Details of the acquisition as follows	Number	% w.r.t. total share/voting capital wherever applicable(*)	% w.r.t. total diluted share/voting capital of the TC (**)
Before the acquisition / SALE under consideration, holding of SELLER along with PACs of:			
a) Shares carrying voting rights	65,000	5.46	5.46
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)	0		
c) Voting rights (VR) otherwise than by equity shares	0		
d) Warrants/ convertible securities/ any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	0		
e) Total (a+b+c)	65,000	5.46	5.46
Details of acquisition/ SALE			
a) Shares carrying voting rights sold	40,000	3.36	3.36
b) VRs acquired otherwise than by equity shares			
c) Warrants/ convertible securities/ any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired.	OFF MARKET SALE		
d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)			
e) Total (a+b+c+/-d)	40,000	3.36	3.36
After the acquisition / SALE, holding of acquirer / seller along with PACs of:			
a) Shares carrying voting rights	25,000	2.10	2.10
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others) Shares pledged with the acquirer.	0		
c) VRs otherwise than by equity shares	0		
d) Warrants/ convertible securities/ any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after SALE	0		
e) Total (a+b+c)	25,000	2.10	2.10
Mode of acquisition / SALE (e.g. open market / public issue / rights issue / preferential allotment / inter-se transfer, etc.)	OFF MARKET SALE (IN DEMAT)		

*Rajesh Gupta*

Salient features of the securities acquired including time till redemption, ratio at which it can be converted into equity shares etc.	Not Applicable
Date of sale of equity shares /any other instrument that entitles the acquirer to receive shares in the TC.	09.04.2021
Equity share capital / total voting capital of the TC before the said sale	Rs. 1,19,05,000/-
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Total diluted share/voting capital of the TC after the said sale	Rs. 1,19,05,000 [ 11,90,500 equity shares]

**Part-B\*\*\***

== SALE ==

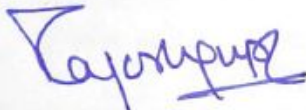
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**R-489, GF-A, New Rajinder Nagar, New Delhi – 110060**

**CIN:- L51909DL1984PLC289131**

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RAJESH GUPTA	Non-Promoter	AALPG4303M	40000	40000	-----
WELDON FINCAP PRIVATE LIMITED	Non-Promoter	AABCS5281M	25000	25000	-----
PRINCEP TRADE & FINANCE (PVT) LTD	Non-Promoter	AABCP5338C	25000	25000	25000



**RAJESH GUPTA**

**Date : 09.04.2021**

**Note:**

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

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