



# CHEMICALS AND FERRO ALLOYS PRIVATE LIMITED

Universal Ferro & Allied Chemicals Ltd. Amalgamated with Chemicals and Ferro Alloys Pvt. Ltd.  
CIN: U99999MH1961PTC012216

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21<sup>st</sup> February, 2020

Department of Compliance Service  
BSE Ltd.,  
25<sup>th</sup> Floor, P. J. Towers,  
Dalal Street,  
Mumbai – 400 001

Dear Sir,

**Subject: Compliance under SEBI (Prohibition of Insider Trading) Regulations 2015 and under SEBI (Substantial Acquisition of Shares & Takeovers) Regulations 2011.**

We, one the Promoters of Uni Abex Alloy products Ltd, are forwarding the following disclosure in respect of 5750 equity shares of the Company purchased on 20<sup>th</sup> February, 2020.

1. Disclosure dated 21<sup>st</sup> February, 2020 under Regulation 29(2) of SEBI (Substantial Acquisition of Shares & Takeover) Regulation 2011.
2. Disclosure dated 21<sup>st</sup> February, 2020 under Regulation 7(2) read with 6(2) of SEBI (Prohibition of Insider Trading) Regulations 2015.

Thanking You,

Yours faithfully

**For Chemicals & Ferro Alloys Private Limited**

FOR CHEMICALS & FERRO ALLOYS PVT. LTD.

  
(DIRECTOR)

**F. D. Neterwala**  
**Director**  
**DIN No. 00008332**

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**LIBERTY BUILDING, SIR VITHALDAS THACKERSEY MARG, NEW MARINE LINES, MUMBAI – 400 020.**

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**Disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

|  |   |  |  |  |
|--|---|--|--|--|
| 1. Name of the Target Company (TC)   |   | Uni Abex Alloy Products Limited            |  |  |
| 2. Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer |   | Chemicals And Ferro Alloys Private Limited |  |  |
| 3. Whether the acquirer belongs to Promoter/Promoter group                       |   | Yes  |  |  |
| 4. Name(s) of the Stock Exchange(s) where the shares of TC are Listed            |   | The Bombay Stock Exchange Limited          |  |  |
| 5. Details of the acquisition / disposal as follows                              |   | Number                                     | % w.r.t. total share/voting capital wherever applicable(*) | % w.r.t. total diluted share/voting capital of the TC (**) |
| <b>Before the acquisition/disposal under consideration, holding of:</b>          |   |  |  |  |
| a)   | Shares carrying voting rights   | 4,25,800                                   | 21.56%   | 21.56%   |
| b)   | Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)  | NIL  | NIL  | NIL  |
| c)   | Voting rights (VR) otherwise than by shares   | NIL  | NIL  | NIL  |
| d)   | Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)              | NIL  | NIL  | NIL  |
| e)   | Total (a+b+c+d)   | 4,25,800                                   | 21.56%   | 21.56%   |
| <b>Details of acquisition/sale</b>   |   |  |  |  |
| a)   | Shares carrying voting rights acquired/sold   | 5,750                                      | 0.29%  | 0.29%  |
| b)   | VRs acquired /sold otherwise than by shares   | NIL  | NIL  | NIL  |
| c)   | Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold | NIL  | NIL  | NIL  |
| d)   | Shares encumbered / invoked/released by the acquirer  | NIL  | NIL  | NIL  |
| e)   | Total (a+b+c+d)   | 5,750                                      | 0.29%  | 0.29%  |


  
 FOR CHEMICALS & FERRO ALLOYS PVT. LTD.  
 (DIRECTOR)

|  |                  |               |               |
|--|------------------|---------------|---------------|
| <b>After the acquisition/sale, holding of:</b>   |                  |               |               |
| a) Shares carrying voting rights   | 4,31,550         | 21.85%        | 21.85%        |
| b) Shares encumbered with the acquirer   | NIL              | NIL           | NIL           |
| c) VRs otherwise than by shares  | NIL              |               |               |
| d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition | NIL              |               |               |
| <b>Total (a+b+c+d)</b>   | <b>4,31,550</b>  | <b>21.85%</b> | <b>21.85%</b> |
| 6. Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).  | Open Market      |               |               |
| 7. Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable  | 20.02.2020       |               |               |
| 8. Equity share capital / total voting capital of the TC before the said acquisition / sale  | Rs.1,97,50,000/- |               |               |
| 9. Equity share capital/ total voting capital of the TC after the said acquisition / sale  | Rs.1,97,50,000/- |               |               |
| 10. Total diluted share/voting capital of the TC after the said acquisition  | Rs.1,97,50,000/- |               |               |

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

**Signature of the acquirer / seller / Authorised Signatory**

**For Chemicals And Ferro Alloys Private Limited**

FOR CHEMICALS & FERRO ALLOYS PRIVATE LIMITED.



**Feroze D. Neterwala**  
**Director**

Place: Mumbai  
Date: 21.02.2020