

April 13, 2023

To,
The Manager,
Listing Department,

BSE Limited,

Phiroze Jeejeebhoy Tower,

Dalal Street,

Mumbai - 400001. Tel no.: 22721233

Fax No.: 22723719/ 22723121/ 22722037

**BSE Scrip Code: 540776** 

To,

The Manager,
Listing Department,

The National Stock Exchange of India Ltd., Exchange Plaza, 5<sup>th</sup> Floor, Plot C/1, G Block,

Bandra - Kurla Complex, Bandra (E),

Mumbai - 400051. Tel No.: 2659 8235

Fax No.: 26598237/ 26598238

**NSE Symbol: 5PAISA** 

Dear Sir/Madam,

## <u>Sub:- Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:</u>

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the period April 01, 2022 to March 31, 2023 (both days inclusive), counter signed by the authorized representative of M/s. Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company, certifying compliance with the requirements of Regulation 7(2) of Listing Regulations, for the financial year ended March 31, 2023.

Kindly take the same on record and oblige.

Thanking You, Yours faithfully,

For 5paisa Capital Limited

Namita Godbole Company Secretary Membership No.:A21056



April 10, 2023

To,

The Manager, Listing Department,

BSE Limited,

Phiroze Jeejeebhoy Tower,

Dalal Street,

Mumbai - 400001. Tel no.: 22721233

Fax No.: 22723719/ 22723121/ 22722037

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The Manager,

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Fax No.: 26598237/ 26598238

**NSE Symbol: 5PAISA** 

Dear Sir/Madam,

## <u>Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</u>

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to share transfer facility are maintained during the period from April 01, 2022 to March 31, 2023 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., M/s. Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Accordingly, compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

Thanking you,

## For 5paisa Capital Limited

Namita Amod Godbole Digitally signed by Namita Amod Godbole Date: 2023.04.10 11:43:34 +05'30'

Namita Godbole Company Secretary & Compliance Officer Membership No.:A21056 For Link Intime India Private Limited

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**Authorized Representative**