

SRESTHA FINVEST LIMITED

Date: 06th October 2020

To
The Manager
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the Quarter ended 30/09/2020

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 30/09/2020 are as follows:

1. Quarterly Compliance Report on Corporate Governance for the quarter ended 30th September 2020.
2. Disclosure under 13(3) of SEBI Regulations, Investor Complaints for the quarter ended 30th September 2020.
3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30th September 2020.
4. Compliance Certificate Certifying Maintaining Physical & Electronic Transfer Facility under Regulation 7 (3) of SEBI (LODR) Regulations, 2015.
5. Reconciliation of Share Capital Audit for the quarter ended 30th September, 2020

This is for your information and records.

Yours faithfully,
For Srestha Finvest Limited


A. Anandha Kumar Bafna
Company Secretary and Compliance Officer



Encl: as above

Registered Office :
No.35/1, Muthu Krishnan Street,
Kondithope, Chennai - 600079

Phone No. 044-25206006
E mail : srestha.info@gmail.com
CIN : L65993TN1985PLC012047



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

Service Tax No. AAACP4924D ST001 • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel East, Mumbai - 400 011
Tel : 2301 2518 / 2301 6761 • Fax : 2301 2517 • Email : busicomp@vsnl.com • Web : www.purvashare.com

01/10/2020

To,

SRESTHA FINVEST LIMITED

Dear Sir/Madam.

Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a monthly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Share Registry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.