



Ref. No.: AUSFB/SEC/2024-25/286

Date: October 10, 2024

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2024**

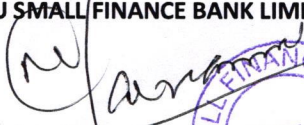
We herewith enclose the confirmation certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended on September 30, 2024, received from the Link Intime India Pvt. Ltd, Registrar and Share Transfer Agent of the Bank.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

  
**Manmohan Parnami**  
Company Secretary and Compliance Officer  
Membership No.: F9999  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)



Encl: As above

CC:

<b>Central Depository Services (India) Limited</b> Marathon Future, Unit No. 2501, 25 <sup>th</sup> Floor, A-Wing, Mafatlal Mills Compound N. M. Joshi Marg, Lower Parel, Mumbai 400013, Maharashtra	<b>National Securities Depository Limited</b> Trade World, 4 <sup>th</sup> Floor, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai 400013, Maharashtra
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**Registered Office**  
AU SMALL FINANCE BANK LIMITED  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
Phone: +91 141 4110060/61, Fax: +91 141 4110090  
CIN: L36911RJ1996PLC011381

[info@aubank.in](mailto:info@aubank.in) | [www.aubank.in](http://www.aubank.in)

To,  
The Compliance Officer/ Company Secretary  
AU SMALL FINANCE BANK LIMITED  
19-A DHULESHWAR GARDEN  
AJMER ROAD  
JAIPUR  
PINCODE : 302001

Date : 03-10-2024

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir/Madam,

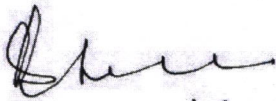
In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September 2024, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For **Link Intime India Pvt.Ltd.**



Ashok Shetty  
Vice President-Corporate Registry