

Advik Capital Limited

(Formerly known as Advik Industries Limited)

CIN : L65100L1985PLC022505

Regd. Office: Advik House, Plot No.84, Khasra No. 143/84

Extended Lal Dora 100 Ft Road, Kanjhawala, New Delhi-110081

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www.advikgroup.com



Dated: 20.10.2018

To,
The Bombay Stock Exchange
Department of Corporate Services
25th Floor, P . J . Towers,
Dalal Street, Mumabai-400001

Dear Sir/Madam,

SUB: Regulation, 2011 and Regulation 30 (1) of SEBI Listing Obligation and Disclosure Requirements Regulations 2015.

We would like to inform you that there is change in holding of Promoters of “Advik Capital Limited” for the Quarter ended on 30th September, 2018.

The Company had received request on 10.09.2018 for transfer of 19,62,000 Equity Shares of Mr. Rishab Kumar Agarwal (promoter) to Mr. Virender Kumar Agarwal (another Promoter) by way of Gift.

The Company & Promoter both had already Communicated to Bombay Stock Exchange on 12.09.2018 under Regulation 29(2) of SEBI (Substantial Acquisition of Share & Takeovers Regulation, 2011 and Regulation 30 of SEBI Listing Obligation and Disclosure Requirements Regulations 2015, for the above transfer.

The Company came to know on receipt of shareholding pattern from RTA and from the Promoter, that there was a mistake while filling up the Depository Slip for transfer of Shares by way of Gift of 19,62,000 Equity Shares From Mr. Rishab Kumar Agarwal having PAN Number-AJAPA7265J to Mr. Virender Kumar Agarwal having PAN Number-AAGPA7283H.

Demat Account of Virender Kumar Agarwal (HUF), (Promoter) was wrongly written instead of Mr. Virender Kumar Agarwal, and the Share has been transferred into the account of Virender Kumar Agarwal(HUF) having PAN no:AAFHV4238Q.



Now the Promter has initiated the Action to rectify the Error, Kindly take the matter on your record.

A circular stamp of Advik Capital Limited is visible, containing the text "ADVIK CAPITAL LIMITED" around the perimeter and a small star at the bottom. Overlaid on the stamp is a handwritten signature in black ink, which appears to be "Rishab Kumar Agarwal".

The objective of Transfer and Acquisition was for investment perspective and not with the objective of seeking any controlling interest As there is no change in the total holding of Equity share of the Company.

Kindly take on Records and acknowledge the same.

Your Faithfully,
For “**Advik Capital Limited**”
(formerly Known As Advik Industries Limited)

RITIKA PRIYAM
Company Secretary cum Compliance Officer
Mem No: 53502

Encl: 1. Letter of Promoter
2. Shareholding Pattern as received from RTA for the Period ended on 30.09.2018.

Format of holding of specified securities

1. Name of Listed Entity: ADVIK CAPITAL LIMITED
2. Scrip Code/Name of Scrip/Class of Security
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending 30/09/2018
- b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?		No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3. Whether the Listed Entity has any shares against which depository receipts are issued?		No
4. Whether the Listed Entity has any shares in locked-in?		No
5. Whether any shares held by promoters are pledge or otherwise encumbered?		No

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class:Equity	Class:equity	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)= (vii)+(x)	(xii)		(xiii)	(xiv)		
(A)	Promoter & Promoter Group	4	26543600	0		26543600	57.86				0	57.86	0	0	0	0	26543600	
(B)	Public	764	19330000	0		19330000	42.14				0	42.14	0	0	0	0	16668000	
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs	0	0	0		0	0				0	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0			0	0				0	0	0	0	0	0	0	
	Total	768	45873600	0		45873600	100				0	100	0	0	0	0	43211600	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)		(xiv)			
1	Indian																		
(a)	Individual/Hindu Undivided Family		4	26543600	0		26543600	57.86				0	57.86	0	0.00	0	0.00	26543600	
	VIRENDER KUMAR AGARWAL	AAGPA7283H		15224600	0		15224600	33.19				0	33.19	0	0.00	0	0.00	15224600	
	VIRENDER KUMAR AGARWAL	AAFHV4238U		4339000	0		4339000	9.46				0	9.46	0	0.00	0	0.00	4339000	
	SHAKUL KUMAR AGARWAL	AJAPA7286M		3584000	0		3584000	7.81				0	7.81	0	0.00	0	0.00	3584000	
	MANJU AGARWAL	ADZPA5868L		3396000	0		3396000	7.4				0	7.40	0	0.00	0	0.00	3396000	
(b)	Central Government/State Government(s)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
(c)	Financial institutions/Banks		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
(d)	Any Other (Specify)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
	Sub Total (A)(1)		4	26543600	0		26543600	57.86				0	57.86	0	0.00	0	0.00	26543600	
2	Foreign																		
(a)	Individual/Non Resident Individual/Foreign Individual		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
(b)	Government		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
(c)	Institutions		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
(d)	Foreign Portfolio Investor		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
(e)	Any Other (Specify)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
	Sub Total (A)(2)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		4	26543600	0		26543600	57.86				0	57.86	0	0.00	0	0.00	26543600	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=[(v)+(vi)]	(viii)	(ix)			(x)	(xi)=[(vii)+(x)]	(xii)		(xiii)		(xiv)	
1	Institutions																		
	(a) Mutual Fund		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(b) Venture Capital Funds		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(c) Alternate Investment Funds		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(d) Foreign Venture Capital Investor		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(e) Foreign Portfolio Investors		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(f) Financial Institutions/Banks		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(g) Insurance Companies		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(h) Provident Fund/Pensions Funds		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(i) Any Other Specify		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	Sub Total (B)[1]		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
2	Central Government/State Government/President of India		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	Sub Total (B)[2]		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		712	3816123	0		3816123	8.32				0	8.32	0	0.00	0	0.00	3251623	
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		26	11962387	0		11962387	26.08				0	26.08	0	0.00	0	0.00	11428887	
	SUDIPTA KUMAR BISWAS	AILPB0705A		2532407	0		2532407	5.52				0	5.52	0	0.00	0	0.00	2532407	
	BHARTI GARG	AZAPG3478M		990000	0		990000	2.16				0	2.16	0	0.00	0	0.00	692500	
	AJAY KUMAR KAUSHIK	AHGPK4874M		674621	0		674621	1.47				0	1.47	0	0.00	0	0.00	674621	
	VINOD KUMAR AGARWAL	ACZPA7471I		532000	0		532000	1.16				0	1.16	0	0.00	0	0.00	532000	
	HITESH GARG	BUMPG0861Q		500000	0		500000	1.09				0	1.09	0	0.00	0	0.00	500000	
	(b) NBFCs Registered with RBI		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(c) Employee Trusts		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(d) Overseas Depositories (Holding DRs) [Balancing Figure]		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(e) Any other (Specify)																		
	(e1) Bodies Corporate		16	3181739	0		3181739	6.94				0	6.94	0	0.00	0	0.00	1621739	
	ALTOUGHT ELECTRO SIGNS PVT.LTD.	3414		1560000	0		1560000	3.4				0	3.4	0	0.00	0	0.00	0	
	ARROW SIGNS PVT LTD	AAJCA2087P		1535484	0		1535484	3.35				0	3.35	0	0.00	0	0.00	1535484	
	(e2) Non Resident Indians		3	17800	0		17800	0.04				0	0.04	0	0.00	0	0.00	17800	
	(e3) Resident Indian Huf		5	349150	0		349150	0.76				0	0.76	0	0.00	0	0.00	345150	
	(e4) Trusts		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	(e5) Clearing Members/House		2	2801	0		2801	0.01				0	0.01	0	0.00	0	0.00	2801	
	(e6) Others		0	0	0	0	0	0				0	0	0	0.00	0	0.00	0	
	Sub Total (B)[3]		764	19330000	0		19330000	42.14				0	42.14	0	0.00	0	0.00	16668000	
	Total Public Shareholding (B)=[B][1]+[B][2]+ B [3]		764	19330000	0		19330000	42.14				0	42.14	0	0.00	0	0.00	16668000	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities [as a percentage of diluted share capital]	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form [Not Applicable]
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
									Class X	Class Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)= (vii)+(x)	(xii)		(xiii)		(xiv)	
1	Custodian/DR																		
(a)	Name of DR Holder (if Available)		0	0	0		0	0				0	0	0	0.00	0	0.00	0	
2	Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)																		
(a)	Name of DR Holder (if Available)		0	0	0		0	0				0	0	0	0.00	0	0.00	0	
	Total Non Promoter Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0		0	0				0	0	0	0.00	0	0.00	0	

Date : 20/10/2018

To,
Board of Directors
Advik Capital Limited
Plot No.84, Khasra No.143/84,Ground Floor,
Extended Lal Dora,Khanjhwala
Delhi-110081

Respected Board,

This is to inform you that the transfer of Shares by way of Gift of 19,62,000 Equity Shares From Mr. Rishab Kumar Agarwal having PAN Number-AJAPA7265J to Mr. Virender Kumar Agarwal having PAN Number-AAGPA7283H dated 10.09.2018.

Demat Account of Virender Kumar Agarwal(HUF)(Promoter) was wrongly written on the Demat Slip of Kotak Securities Limited instead of Mr. Virender Kumar Agarwal, and the Share has been transferred into the account of Virender Kumar Agarwal(HUF) having PAN no:AAFHV4238Q.

I had already made the Disclosure under regulation 29(2) of SEBI (Substantial Acquisition of Share & Takeovers) Regulation, 2011 to Listing Department, BSE Limited and also mail it to corp.relations@bseindia.com on 12.09.2018. Hard Copy was also sent.

Now I have initiated the Action to rectify the Error i.e transfer of 19,62,000 Equity Shares Virender Kumar Agarwal(HUF) having PAN no:AAFHV4238Q to Mr. Virender Kumar Agarwal having PAN Number-AAGPA7283H.

The above is for your kind perusal and record.

Yours Faithfully



Mr. Virender Kumar Agarwal
Promoter/ Director
DIN: 00531255

CC: Listing Department
BSE Limited
Floor 25, P J Towers, Dalal Street, Mumbai-400001





CC: KOTAK SECURITIES LIMITED
ENCL: Copy of mail dated 12.09.2018

Dispatch Proof of hard Copy to BSE listing Department Dated 12.09.2018



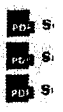
- Inbox 55
- Drafts
- Sent
- Junk
- Trash
- Spam

Disclosure under regulation 29 (2) of SEBI

 From **V K AGARWAL** 
To **corp.relations@bseindia.com** 
Cc **CS_ADVIK CAPITAL LTD.** 
Date **Today 1:17 pm**

Return-Path: <agarwal1961@yahoo.com>
Delivered-To: cs@advikgroup.com
Received: from sg2plcpnl0061.prod.sin2.secureserver.net
by sg2plcpnl0061.prod.sin2.secureserver.net with LMTP id iEaChcXEmFvKYi8AgWdodw
for <cs@advikgroup.com>; Wed, 12 Sep 2018 00:48:21 -0700
Return-path: <agarwal1961@yahoo.com>
Envelope-to: cs@advikgroup.com
Delivery-date: Wed, 12 Sep 2018 00:48:21 -0700
Received: from sonic303-22.consmr.mail.sg3.yahoo.com ([106.10.242.39]:34290)
by sg2plcpnl0061.prod.sin2.secureserver.net with esmtps (TLSv1.2:ECDHE-RSA-AES128-GCM-SHA256:128)

V K AGARWAL
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204,SRI RAM HOUSE,5398/70,REGAR PURA,
ARYA SAMAJ ROAD,KAROL BAGH,NEW DELHI-110005
Tel+91-11-28722012
Email :agarwal1961@yahoo.com



भारतीय डाक



SP RMS BHAWAN <110006>

BSIN No: 0744A000230NIZ0

ED0000690403 IN

Counter No:1,OP-Code:PAVAN

To:GM CORPORATE RELATIO,DALAL ST ROTUNDO B

MUMBAI, PIN:400001

From:ADVIX CAPITAL LTD , KANJHAWLA DELHI 01

Wt:50grams, ,12/09/2018 ,14:46

Amt:41.00

,CBST 09% 3 ,UTGST 09%: 3.30

<<Track on www.indiapost

भारतीय डाक



SP RMS BHAWAN <110006>

BSIN No: 0744A000230NIZ0

ED0000690407 IN

Counter No:1,OP-Code:PAVAN

To:GM CORPORATE RELATIO,DALAL ST ROTUNDO B

MUMBAI, PIN:400001

From:ADVIX CAPITAL LTD , KANJHAWLA DELHI 01

Wt:50grams, ,12/09/2018 ,14:47

Amt:41.00

,CBST 09% 3 ,UTGST 09%:

<<Track on www.indiapost

भारतीय डाक



SP RMS BHAWAN <110006>

BSIN No: 0744A000230NIZ0

ED0000690505 IN

Counter No:1,OP-Code:PAVAN

To:GM CORPORATE RELATIO,DALAL ST ROTUNDO B

MUMBAI, PIN:400001

From:ADVIX CAPITAL LTD , KANJHAWLA DELHI 01

Wt:50grams, ,12/09/2018 ,14:48

Amt:41.00

,CBST 09% 3 ,UTGST 09%:

<<Track on www.indiapost

भारतीय डाक

