



HO/Finance/Share/25/2022-23

Date: 25.04.2022

National Stock Exchange of India Ltd.
"Exchange Plaza"
Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai – 400 051
NSE Scrip Symbol: UCOBANK

BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai – 400 001
BSE Scrip Code: 532505

Dear Sir/ Madam,

Sub : Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the year ended 31.03.2022

Pursuant to Regulation 40(10) of SEBI (LODR) Regulations, 2015 , we submit the copy of certificate dated 25.04.2022 issued by M/s Manish Ghia & Associates, Company Secretaries for the year ended 31.03.2022.

Kindly take the same on your records.

Yours faithfully,

(N Purna Chandra Rao)
Company Secretary



**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015
FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2022**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **UCO Bank** having its registered office at No. 10, BTM Sarani, Kolkata, West Bengal, 700001 maintained by **KFin Technologies Limited (formerly known as KFin Technologies Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Bank, pertaining to transfer of equity shares of the Bank during the year ended **31st March, 2022**, for the purpose of issuing the Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 to **UCO Bank** and based on information provided by the Bank, we hereby certify that during the year ended on **31st March, 2022**, the Bank:

- a) has issued share certificate(s) or letter of confirmation wherever required, relating to request(s) for transmission, consolidation and issue of duplicate share certificate(s) in lieu of original lost/misplaced share certificate(s), request for name deletion received within 30 days from the date of lodgement except those rejected on technical grounds; and
- b) has not received any request(s) for transfer, subdivision, renewal, exchange or endorsement of calls/ allotment monies.



Place: Mumbai

Date: April 25, 2022

UDIN: F006252D000198840

**For Manish Ghia & Associates
Company Secretaries
(Unique ID: P2006MH007100)**

Mannish L. Ghia

**CS. Mannish L Ghia
Partner**

**M. No. FCS 6252, C.P. No. 3531
PR 822/2020**