



# TRANSWARRANTY FINANCE LIMITED

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TFL/SEC/2020-21/05

19/05/2020

The Manager,  
Corporate Relations Dept.,  
BSE Ltd.,  
P.J. Towers,  
Dalal Street, Fort  
Mumbai

The Manager  
Listing Department  
National Stock Exchange of India Ltd.  
Bandra Kurla Complex  
Bandra (East)  
Mumbai

**BSE Scrip Code: 532812**

**NSE Scrip Code: TFL**

Dear Sir,

**Sub: Compliance Certificate under Regulation 40(10) of the Listing Regulations**

We are enclosing herewith the Compliance Certificate from the Practicing Company Secretary under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended on 31.03.2020.

You are requested to accept and take on record the "Sd/-" copy, as we are unable to submit the signed copy in the situation of lockdown as per the directives of Government and consequent Work from Home Policy of the Company.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Transwarranty Finance Limited

Sd/-  
Suhas Borgaonkar  
Company Secretary

Encl : as above

**CIN: L65920MH1994PLC080220**

403, Regent Chambers, Nariman Point, Mumbai- 400021 • Tel: 6630 6090 / 2204 7965  
Fax: 6630 0999 / 4001 0999 • e-mail : mail@transwarranty.com • website:www.transwarranty.com

# YOGESH SHARMA & CO.

COMPANY SECRETARIES

**Yogesh M. Sharma**

M.Com, M.Phil, M.M.M, M.F.M, LLB, ACS.

Phone Office: 25952322

Email ID: csymsharma@gmail.com

Mob No. 9833124864

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI LISTING REGULATIONS 2015  
FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **TRANSWARRANTY FINANCE LIMITED** having its registered office 403, Regent Chambers, Nariman Point, Mumbai 400021 maintain by **LINK INTIME INDIA PVT. LTD** pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI Listing Regulations 2015 and based on the information provided by the Company, I hereby certify that:

- A) There were no transfer, transmission, name deletion request received during the period 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020
- B) Any other information, if applicable - Nil

Place: Mumbai.

Date: 18<sup>th</sup> May, 2020

UDIN: A033235B000250980

**FOR YOGESH SHARMA & CO.**

**YOGESH M  
SHARMA**

Digitally signed by YOGESH M SHARMA  
DN: cn=Personal, email=YOGESH M SHARMA,  
serialNumber=60248479A51e3ca3cc5728c31a9  
2844249273a90c70432a20568f340090,  
postalCode=400005,  
2.5.4.20=7811e9998f22ac038112959647044066c  
c8057ba25305a5188a29504445142,  
st=Maharashtra  
Date: 2020.05.18 18:49:50 +05'30'

YOGESH M. SHARMA

Proprietor

M.No. ACS 33235, CP No. 12366