

**April 25, 2024**

**BSE Limited**

Department of Corporate Relationship  
1<sup>st</sup> Floor, New Trade Ring, Rotunda Building  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai- 400001  
*Scrip Code: 524742*

**National Stock Exchange of India Ltd.,**

Department of Corporate Services  
Exchange Plaza, 5<sup>th</sup> Floor,  
C-1, Block G, Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 051  
*Scrip Code: CAPLIPOINT.*

Dear Sir/Madam,

**Sub: Compliance Certificate in terms of Regulation 40 (10) of the SEBI (LODR) Regulations, 2015 (Listing Regulations) for the year ended 31<sup>st</sup> March 2024.**

Please find enclosed the Compliance Certificate, issued by M. Alagar and Associates, Chennai, Practicing Company Secretaries, in terms of Regulation 40 (9) of the Listing Regulations, for the year ended 31<sup>st</sup> March 2024.

Kindly take the same on record.

Thanking You,

Sincerely Yours

**For Caplin Point Laboratories Limited**

**Venkatram G**

**General Counsel & Company Secretary**  
**Membership No. A23989**

Encl: A/a

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024**

We have examined the relevant documents relating to **Caplin Point Laboratories Limited**, having its registered office at Ashvich Towers, 3rd Floor, No.3, Developed Plots, Industrial Estates, Perungudi, Chennai 600096 maintained and provided by **Integrated Registry Management Services Private Limited**, Share Transfer Agent having its office at 2<sup>nd</sup> Floor, Kences Towers, No.1, Ramakrishna Street, North Usman Road, T. Nagar, Chennai - 600 017 for the period from 01<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024 for the purpose of issuing a certificate under the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information and explanations provided by the RTA, which to the best of our knowledge and belief was necessary for the certification, we hereby certify that:

- A. The Certificates relating to Transmission, Duplicate Share Certificate Requests, Change of Name, Replacement of Certificates, have been issued within stipulated timeline from the date of lodgement of request during the aforementioned period.
- B. The Company and/ or Share Transfer Agent have not received any request for transfer, transposition, Sub-division, consolidation, renewal, exchange, or endorsement of calls/allotment monies during the aforementioned period.

**For M. Alagar and Associates**  
**Practicing Company Secretaries**  
**Peer Review Certificate No: 1707/2022**



**M. Alagar**  
**Managing Partner**  
**Membership No.: 7488 / CoP No.: 8196**  
**UDIN: F007488F000225288**

Date: April 24, 2024  
Place: Chennai