

January 9, 2024

The Secretary **BSE Limited**Pheeroze Jeejeebhoy Towers

Dalal Street, Fort
Mumbai - 400 001
Scrip Code: 531595

The Secretary

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor Plot No- 'C' Block, G Block

Bandra-Kurla Complex, Bandra (East)

Mumbai – 400 051 Scrip Code: CGCL

<u>Sub:</u> <u>Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the 'Listing Regulations')</u>

Dear Sir / Madam,

Pursuant to Regulation 30 of the Listing Regulations, this is inform that the Company has received a notice under SEBI circular no. SEBI/HO/DDHS/DDHS_Div1/P/CIR/2022/0000000103 dated July 29, 2022 (SEBI SOP Circular) imposing a penalty of Rs. 62,000 plus GST.

Accordingly, the Company have made payment of penalty to BSE on January 8, 2024, in protest and the Company intends to make an application for wavier to the exchange. The details of the above notice, as required under Clause 20 of Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, is enclosed as Annexure A.

The aforesaid information is also being placed on the website of the Company at https://www.capriloans.in/

You are requested to kindly take the above information on record.

Thanking you,

Yours faithfully, for Capri Global Capital Limited

Yashesh Bhatt

Company Secretary & Compliance Officer

Encl.: As above



ANNEXURE A

Sr. No	Particulars	Details
1.	Name of the authority;	BSE Limited
2.	Nature and details of the action(s) taken initiated, or order(s) passed;	Penal actions against the Company for non-compliance/late compliance with certain Regulations of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Regulation 54(2).
3.	Date of receipt of direction or order, including any ad- interim or interim orders, or any other communication from the authority;	Pay order dated January 08, 2024.
4.	Details of the violation(s)/ contravention(s) committed or alleged to be committed;	Non-disclosure of extent and nature of security created and maintained with respect to secured listed NCDs in the financial statements, as per the format prescribed by SEBI.
5.	Impact on financial, operation or other activities of the Company, quantifiable in monetary terms to the extent possible;	None

Capri Global Capital Limited