

ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,
Mumbai - 400 013. Tel.: 91 22 6178 7000 E-mail: investor.relations@alokind.com



28th April, 2023

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

National Stock Exchange of India Ltd

Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex,
Bandra (East), Mumbai - 400 051

Scrip Code: 521070

Symbol: ALOKINDS

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We enclose herewith a certificate for the year ended 31st March, 2023, duly signed by Mr. Virendra G Bhatt, Practicing Company Secretary, pursuant to the provisions of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please take the same on record.

Thanking you,

Yours faithfully,

For **Alok Industries Limited**

Hitesh Kanani

Company Secretary & Compliance Officer

Membership No. F6188

Virendra Bhatt

Company Secretary

Office :

Office No.: 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051

Tel.: 022 - 2652 9367 / 68

Mobile No.: +91 98200 48670

Email : bhattvirendra1945@yahoo.co.in

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

I have examined all the relevant documents and papers of **Alok Industries Limited** ("the Company") maintained by **Link Intime India Private Limited**, the Registrar and Share Transfer Agent of the Company in connection with the issue of share certificates / letter of confirmation, as the case may be, pertaining to the financial year ended **31st March, 2023**, for the purpose of issuing a Compliance Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("LODR") and based on the information provided by the Company, I hereby certify that during the financial year ended **31st March, 2023**:

There were no cases of transfer, transmission, transposition, deletion of names, requests for issue of duplicate share certificates, change of name, split of share certificates, consolidation of folios, consolidation of share certificates, endorsement for payment of call / allotment money and replacement of share certificates during the above period.

Date: 28th April, 2023

Place: Mumbai

UDIN: A001157E000215668



Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157 / COP No.: 124

Peer Review Cert. No.: 1439/2021