



LLOYDS METALS AND ENERGY LIMITED

Regd. Office and Works : Plot No. A1 & A2, MIDC Industrial Area, Ghugus 442 505, District Chandrapur (MS), Tel : 07172-285398, 07172-285103
Corporate Office : A2, 2nd Floor Madhu Estate, Pandurang Budhkar Marg, Lower Parel, Mumbai-400013, Tel : +91-22-62918111

www.lloyds.in | CIN: L40300MH1977PLC019594 | investor@lloyds.in

Date: 14th April, 2023

**To,
BSE Limited**

The Corporate Relationship Department
Phiroze Jeejeebhoy Towers, Dalal Street,
Mumbai – 400001

**To,
Head- Listing & Compliance
Metropolitan Stock Exchange of India Limited (MSEI)**

205 (A), 02nd floor, Piramal Agastya Corporate Park,
Kamani Junction, LBS Road, Kurla (West), Mumbai-400070

Sub: Compliance Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

**Ref: BSE Scrip Code: 512455
BSE and MSEI Scrip ID: LLOYDSME**

Dear Sir/Madam,

In Compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose a copy of the Compliance Certificate issued by a Company Secretary in Practice for the financial year **01st April, 2022 to 31st March, 2023.**

Kindly take the same on your records and inform the Stakeholders accordingly.

Thanking you,
Yours faithfully,
For Lloyds Metals and Energy Limited

**Trushali Shah
Company Secretary**

Encl: a/a



**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED 31TH MARCH, 2023

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s LLOYDS METALS AND ENERGY LIMITED (CIN-L40300MH1977PLC019594)** maintained by **M/s BIGSHARE SERVICES PRIVATE LIMITED** (Registrar & Transfer Agent of the said company), having its Registered Office at E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E) Mumbai - 400072 Pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of equity shares of the company for the period beginning from 01st April, 2022 to 31st March, 2023 ("the relevant period") for the purpose of issuing Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on information provided and explanation given to us by the Company and its Registrar and Transfer Agent, we hereby certify that:

- A). For the relevant period, Share Certificates in respect of requests for name deletion, transmission and duplicate share certificates have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.
- B). There were no request for registration of transfer, sub-division, transposition, consolidation, renewal, exchange or endorsement of calls/allotment monies.

**For H. Maheshwari & Associates
Company Secretaries**

H. Maheshwari
Hemant Maheshwari
Proprietor
Membership No. 26145
COP. No.: 10245



**Signed generating UDIN A026145E000095491 dated 14th April 2023 at
Mumbai.**