

Date: 27th April, 2022

BSE Limited Department of Corporate Services Phirojee Jeejeebhoy Towers Dalal Street, Mumbai – 400023	National Stock Exchange of India Limited Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400051
Scrip Code: 500136	Symbol: ESTER

Dear Sir/Ma'am,

Subject : Submission of Certificate under Regulation 40(10) of the SEBI (LODR) Regulations, 2015

With reference to the captioned subject, please find enclosed herewith the certificate pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by a practicing Company Secretary under Regulation 40 (9) of the SEBI (LODR) Regulations, 2015 for year ended on 31st March 2022.

Please acknowledge the receipt of the same.

Thanking You

Yours Faithfully

For Ester Industries Limited

**Diwaker Dinesh****Head-Legal & Company Secretary****Encls: As above****Ester Industries Limited****Corporate Office :** Block-A, Plot No. 11, Infocity-I, Sector-34, Gurgaon - 122001 Haryana, India**Phone :** +91-124-2656100, 4572100 **Fax :** +91-124-4572199, 2656199 **E-Mail :** info@ester.in **Website :** www.esterindustries.com**Regd. Office & Works :** Sohan Nagar, P. O. Charubeta, Khatima - 262308 Distt. Udham Singh Nagar, Uttarakhand**Phone : EPABX No. (05943) 250153-57 Fax No.: (05943) 250158**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022

We have examined all records, Registers, files and other documents relating to ESTER INDUSTRIES LIMITED having registered office at Sohan Nagar, P.O.Charubeta, Khatima Distt.Udham Singh Nagar Uttarakhand 262308 maintained by at their Registrar and Share Transfer Agent MAS SERVICES LIMITED having its office at T-34, 2nd Floor, Okhla Industrial Area Phase – II, New Delhi 110 020 for the period from 1ST April, 2021 to 31st March 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ Registrar and Share Transfer Agent electronically, We hereby certify that:

- A) Shares Certificates/ 'Letter of Confirmation' in lieu of physical share certificates, to the claimants relating to the transmission of shares and deletion of name, lodged during the period under review from April 1, 2021 to March 31, 2022 have been issued within twenty one days/ (Seven days w.e.f 24.01.2022) from respective date of lodgment excepting those rejected on technical grounds. *However, no requests for Transfer/ transposition in name of shareholders were received during the period under review.*
- B) The Company has issued Shares Certificates/ 'Letter of Confirmation' in lieu of physical share certificates in respect of requests received during the period under review from April 1, 2021 to March 31, 2022 for issue of duplicate certificate (s) within thirty days from respective date of lodgment, provided all the required documents were in order. *However, there were no requests for sub-division, consolidation, renewal of shares certificates and company has not made any calls or allotment during the period under review.*



For R S M & Co.
Company Secretaries

Ravi Sharma
RAVI SHARMA

C.P. No. 3666

UDIN : F004468D000217637

Place: New Delhi
Date: 27.04.2022